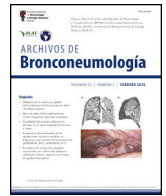




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## Original Article

# Sputum Microbiota Correlates With Metabolome and Clinical Outcomes in Asthma–Bronchiectasis Overlap

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## ABSTRACT

**Objectives:** To investigate the microbiota and metabolome of patients with ABO compared with bronchiectasis and asthma, and determine the relevance with clinical characteristics, inflammatory endotype and exacerbation risks.

**Methods:** In this prospective cohort study, patients underwent comprehensive assessments, including sputum differential cell count, and sputum collection at baseline. Sputum microbiota was profiled via 16S rRNA gene sequencing and metabolome via liquid chromatography/mass spectrometry. Shannon–Wiener Diversity Index (SWDI) was used to reflect dysbiosis. Patients were followed-up to record exacerbations. ABO patients were stratified by the SWDI and sputum eosinophilia to determine the exacerbation risks.

**Results:** Two hundred forty-seven patients were recruited, including 99 ABO (median age: 53.2 years, 65.7% female), 61 asthma (median age: 39.5 years, 50.8% female) and 87 bronchiectasis patients (median age: 52.3 years, 55.2% female). Both microbiota compositions and metabolites differed among asthma, ABO and bronchiectasis, and between eosinophilic and non-eosinophilic ABO at steady-state. Baseline SWDI of microbiota was highest in asthma, followed by ABO. Both *Pseudomonadaceae* and *Rothia* most effectively discriminated ABO from asthma and bronchiectasis. *Pseudomonas* exhibited a more pronounced negative correlation with other taxa in nonEos-ABO. ABO patients with low SWDI with sputum eosinophilia, or those with high SWDI without sputum eosinophilia, had a shorter time to the first exacerbation. Metabolomic compositions in Eos-ABO separated from nonEos-ABO. The relative abundance of *Enterobacteriaceae* correlated negatively with 15-hydroxylated eicosatetraenoic acid, whose concentrations were higher in Eos-ABO.

**Conclusions:** Integrating microbiota and metabolome profiles, together with eosinophilic inflammatory endotyping, can inform exacerbation risk and personalized management of ABO.

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**Abbreviations:** ABO, asthma–bronchiectasis overlap; AE, acute exacerbation; ASVs, amplicon sequence variants; BSI, Bronchiectasis Severity Index; CRDs, chronic respiratory diseases; Eos-ABO, eosinophilic asthma–bronchiectasis overlap; FDR, false-discovery rate; FEV<sub>1</sub>, forced expiratory volume in one second; HR, hazards ratio; HRCT, high-resolution computed tomography; IgE, immunoglobulin E; IQR, interquartile range; LDA, linear discriminant analysis; LEfSe, linear discriminant analysis effect size; nonEos-ABO, non-eosinophilic asthma–bronchiectasis overlap; PCA, principal component analysis; SWDI, Shannon–Wiener Diversity Index; PERMANOVA, permutational multivariate analysis-of-variance.

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## Introduction

Asthma and bronchiectasis are common chronic respiratory diseases (CRDs)<sup>1,2</sup> and frequently coexist, a condition termed asthma–bronchiectasis overlap (ABO). The prevalence of ABO in patients with bronchiectasis reportedly ranged between 15% and 30.2%.<sup>3</sup> In bronchiectasis, the pathological bronchial dilation primarily stems from chronic airway infection and inflammation – the shared pathophysiological components of asthma. Asthma may develop among patients with bronchiectasis, and the *vice versa*.<sup>1,3</sup> Compared with asthma or bronchiectasis alone, ABO yielded greater symptom burden and higher frequency of acute exacerbation (AE).<sup>4–6</sup> Because of the lack of specific guidelines, the

management schema of ABO have been extrapolated from asthma and bronchiectasis.<sup>1,2,7</sup> In asthma, inhaled corticosteroids are the cornerstone of anti-inflammatory treatment, which is not recommended for bronchiectasis because of increased infection risks. For ABO, how to balance anti-inflammatory and antimicrobial treatment remains challenging.

While some culture-dependent pathogens correlated with the outcomes of ABO,<sup>8</sup> airway microbiota could be better characterized with 16S rRNA gene sequencing.<sup>9</sup> In asthma, *Proteobacteria* were enriched compared with healthy controls, and the degree of dysbiosis (bacterial diversity, community composition and abundance of specific phylotypes) predicted the levels of airway hyperresponsiveness, disease severity and asthma control.<sup>10,11</sup> In bronchiectasis, airway dysbiosis correlated with disease severity and lung function impairment. The dominance of *Pseudomonas* spp. conferred a higher risk of AE and all-cause mortality in bronchiectasis.<sup>12-14</sup>

Dysbiosis may modify clinical outcomes of CRDs via shaping the airway inflammatory milieu or releasing metabolites that alter the host transcriptome.<sup>15,16</sup> Notably, different airway inflammatory endotypes correlated with distinct microbiota profiles in asthma. Compared with the eosinophilic endotype, the neutrophilic endotype correlated with markedly lower bacterial diversity and enrichment of pathogenic bacteria.<sup>17</sup> In bronchiectasis, higher blood eosinophil counts were associated with the relative abundance of *Streptococcus* and *Pseudomonas* and a shorter time to the next AE.<sup>18</sup> Because the microbiota reportedly shaped the airway microenvironment via metabolites modulating inflammatory signaling or immunity,<sup>15,16</sup> ascertaining the role of microbiota and metabolome would help appraise the pathophysiology among asthma, bronchiectasis and ABO.

We hypothesized that the microbiota compositions and their metabolome differed considerably among asthma, ABO and bronchiectasis, which correlated with the disease severity, inflammatory endotypes and future risks of AE. Our findings might help identify strategies for personalized management of ABO.

## Methods

See [Supplementary Materials](#) for details.

### Study Participants

We consecutively recruited adult patients between May 2018 and August 2023. The inclusion criteria were: clinically significant bronchiectasis was diagnosed based on high-resolution computed tomography (HRCT) manifestations (an inner/outer airway-artery diameter ratio  $\geq 1.0$ , no tapering of airways, or visible airways in the periphery) compatible with respiratory symptoms (daily cough, chronic sputum production, a history of exacerbations).<sup>19</sup> Asthma was a physician diagnosis based on *Global Initiative for Asthma* guidelines – respiratory symptoms (wheezing, shortness of breath, cough or chest tightness) plus variable expiratory airflow limitation (significant bronchodilator response or airway hyperresponsiveness).<sup>1</sup> Severe asthma denoted asthma requiring level 4/5 treatments to maintain asthma control, or uncontrolled asthma despite maximal treatment. ABO was diagnosed among patients with co-existing asthma and bronchiectasis.

Eligible patients were in steady-state at baseline, remaining exacerbation-free and had no antibiotics use (except for low-dose macrolides) for more than 4 weeks. AE denoted significant deterioration of three or more symptoms persisting for more than 48 h that required immediate changes in treatment.<sup>19</sup> Key exclusion criteria for all patients were active tuberculosis, malignancy, eosinophilic granulomatosis with polyangiitis, aller-

gic bronchopulmonary aspergillosis, antineutrophil cytoplasmic antibody-associated lung disease, traction bronchiectasis, pregnancy, lactation, or insufficient yield of valid sputum.

Ethics approval was obtained. All patients signed written informed consent.

### Study Design

At initial visits, we collected clinical information, performed spirometry, chest HRCT and rated the radiologic severity of bronchiectasis using modified Reiff score.<sup>20</sup> We rated bronchiectasis severity using *Bronchiectasis Severity Index* (BSI)<sup>21</sup> and E-FACED score,<sup>22</sup> and assessed bronchiectasis etiology.<sup>23</sup> We ascertained atopic status according to the total or specific serum immunoglobulin E titers for allergens, or skin-prick tests. Blood eosinophil count was stratified into high- ( $>300/\mu\text{L}$ ) or low-eosinophil ( $\leq 300/\mu\text{L}$ ) subgroups. Patients were followed-up for recording AE. Sputum was collected prior to antibiotics prescriptions.

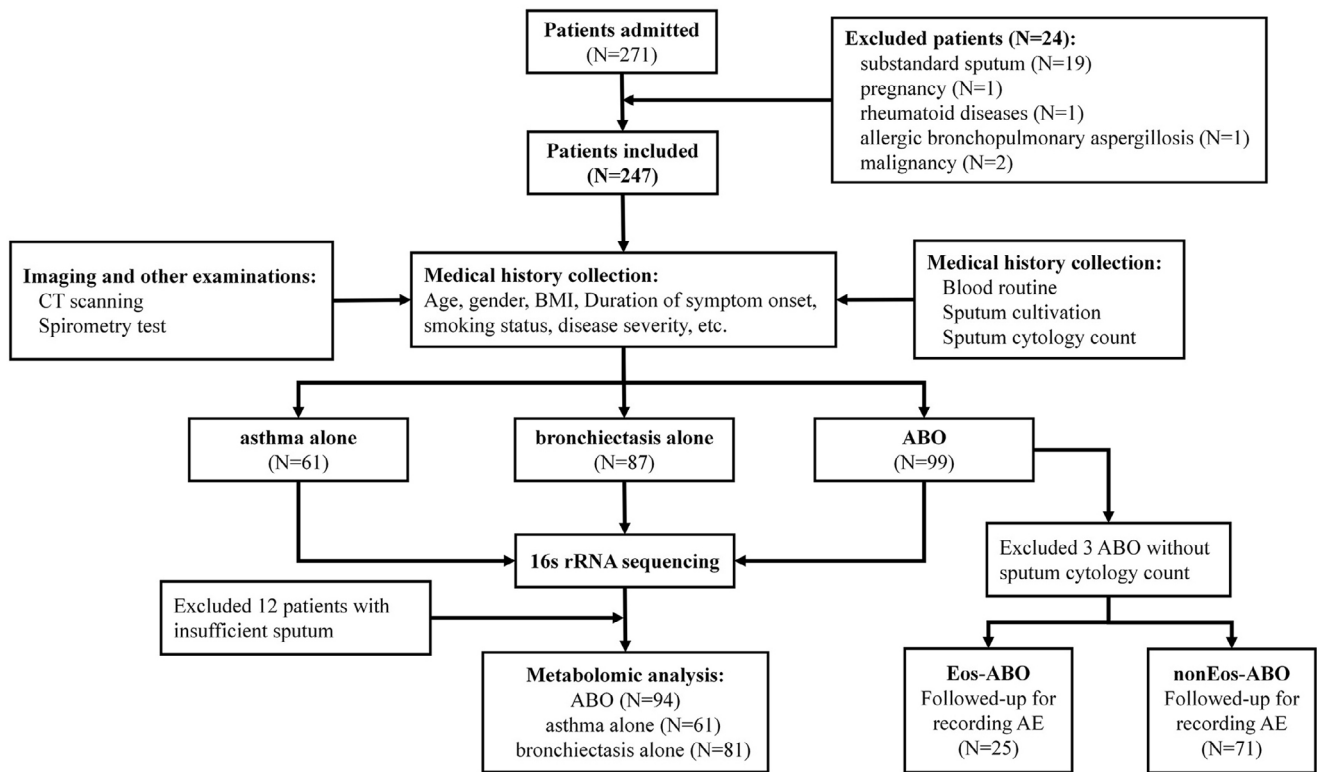
### Sputum Collection

Spontaneous sputum was prioritized. If the sputum yield was insufficient, induction with 3% saline was performed. After thorough mouth rinsing, patients forcefully expectorated into sterile containers. Sputum plugs were selected after removal of saliva via repeated dragging onto a sterile petri dish. We performed quality-control and split sputum for differential cell counts, bacterial culture, 16S rRNA gene sequencing and metabolomic profiling. ABO was stratified by the presence [eosinophilic ABO (Eos-ABO), sputum eosinophils  $\geq 3\%$ ] or absence [non-eosinophilic ABO (nonEos-ABO), sputum eosinophils  $<3\%$ ] of sputum eosinophilia, in accordance with the accepted standards in published literature.<sup>24,25</sup> Furthermore, the percentage instead of absolute sputum eosinophil count was selected to minimize the influence of the variability in viscosity and cell density within the sputum. Sputum with a squamous epithelial cell to leucocyte ratio  $<1:2.5$  per low-power microscopic field (magnified 40 $\times$ ) was assayed.<sup>26</sup> Supernatant was obtained after rinsing with phosphate buffer solution before metabolomic profiling.

### 16s rRNA Sequencing Analytical Pipelines

The detailed procedures are provided in [Supplement](#). DNA was extracted from sputum, followed by agarose gel electrophoresis and quality-control with ND-100 Nanodrop. Quality-filtered samples underwent library construction. The V3-V4 region of 16S rRNA genes was amplified from sputum DNA, followed by sequencing with Illumina Nova 6000 (Guangdong Magigene Biotechnology Co., Ltd. Guangzhou, China). Sequences were deposited in GenBank (PRJNA1007275). All 16S rRNA gene datasets were processed using a standardized pipeline in Quantitative Insights Into Microbial Ecology 2.0 (QIIME 2.0).<sup>27</sup> The demultiplexed sequencing reads were denoised to generate amplicon sequence variants (ASVs) using Divisive Amplicon Denoising Algorithm 2 (DADA2).<sup>28,29</sup> A custom Naive Bayes classifier was trained on Greengenes Database (Second Genome, Inc.) 13.8 99% operational taxonomic units to assign a taxonomy for the ASVs. Samples were rarefied to 32,137 reads.

Alpha diversity was estimated by Shannon-Wiener Diversity Index (SWDI), and beta diversity by weighted Unifrac and visualized with principal coordinate analysis (PCoA). Permutational multivariate analysis-of-variance (PERMANOVA) calculated the total distance between individuals within each group. Linear discriminant analysis effect size (LEfSe) analysis assessed the importance of taxa contributing to the between-group differences. Significant inter-taxa SparCC<sup>30</sup> (sparse correlations for compositional data) correlations with coefficients  $>0.3$  (false-discovery rate



**Fig. 1.** Flowchart of patient recruitment. *Abbreviations:* ABO: asthma–bronchiectasis overlap; Eos-ABO: eosinophilic asthma–bronchiectasis overlap; nonEos-ABO: non-eosinophilic asthma–bronchiectasis overlap; AE: acute exacerbation.

[FDR]-adjusted  $P < 0.05$ ) were displayed by co-occurrence network graphics.

### Extensively Targeted Metabolomics

Ice-thawed sputum was vortexed for 10 s. One hundred microliters each of sputum and extraction solution (acetonitrile/methanol 1:4, V/V) containing internal standards were added into 2 ml microcentrifuge tubes, followed by vortex for 3 min and centrifugation (13,400 g for 10 min, 4 °C). One hundred fifty microliters of supernatant were placed in –20 °C for 30 min, and centrifuged (13,400 g for 3 min, 4 °C). One hundred twenty microliters of supernatant were transferred for liquid chromatography–mass spectrometry with triple time-of-flight mass spectrometer (TripleTOF 6600, AB SCIEX). In each cycle, 12 precursor ions whose intensity >100 were selected for fragmentation at 30 V.

### Statistical Analysis

Data were processed with SPSS 23.0, R version 4.2.2, python 3.10 and GraphPad Prism (version 8.0.1 GraphPad Inc., San Diego, USA). Continuous variables were presented as mean ± standard deviation (SD) or median (interquartile range [IQR]), whereas counts (proportion) for categorical variables. We analyzed continuous variables with *t*-test, analysis-of-variance, Mann–Whitney or Kruskal–Wallis test, and compared categorical variables with Chi-square or Fisher's exact test. The future risk of AE was analyzed with Kaplan–Meier model and compared with log-rank test, with the hazards ratio (HR) and 95% confidence interval (95% CI) being displayed. The differentially enriched microbiota were identified by LefSe with Wilcoxon rank-sum test and linear discriminant analysis (LDA) score ( $\log_{10}$ ) >2 (permutation-based FDR- $P < 0.05$ ). For significant between-group differences in microbial/metabolomic profiles in PCA, we

applied orthogonal partial least-squares discriminant analysis (OPLS-DA) to highlight the differentially expressed metabolites. We explored the association of taxa with the clinical parameters using Microbiome Multivariable Associations with Linear Models<sup>31</sup> (MaAsLin2 2.1.16 R package), and assessed the Spearman's correlation between the taxa and metabolites after applying a log-ratio transformation (FDR- $P < 0.05$ ). LefSe analysis, PCoA and taxa–metabolites correlation analysis were performed using the OmicStudio tools<sup>32</sup> at <https://www.omicstudio.cn/>. Details are provided in [Online Supplement](#) or deposited in GitHub under <https://github.com/Dr-Hezf/Dr-Zhang-ABO-microbiota.git>.

## Results

### Baseline Characteristics

Of 271 patients screened, 247 were enrolled (61 asthma, 99 ABO, 87 bronchiectasis) (Fig. 1). All patients provided a sputum sample at baseline. The median follow-up was 23.8 (IQR: 16.0) months. Compared with asthmatic patients, those with ABO were older, had a longer disease duration, more severe airflow limitation, more frequent sputum neutrophilia, and non-atopy. Compared with bronchiectasis patients, those with ABO had higher body-mass index, lower BSI and modified Reiff scores, and more prominent sputum eosinophilia (Table 1).

We identified modest correlations between blood and sputum eosinophil count in ABO ( $r = 0.43$ ,  $P < 0.001$ ). Ninety-six ABO patients with sputum cytology findings were divided into Eos-ABO ( $n = 25$ ) and nonEos-ABO group ( $n = 71$ ) (Table E1).

### Differential Microbiota Compositions and Interactions at Baseline

We obtained 81,769,907 high-quality 16S rRNA reads [median: 123,118 (range: 32,137–252,716) per sample]. Four thousand five

**Table 1**  
Baseline Characteristics of Patients With ABO, Asthma and Bronchiectasis.

	Asthma (n = 61)	ABO (n = 99)	P Value (ABO vs. Asthma)	Bronchiectasis (n = 87)	P Value (ABO vs. Bronchiectasis)
Age (yrs)	39.5 (20.0)	53.2 (19.0)	<b>&lt;0.001</b>	52.3 (22.6)	0.809
Females, n (%)	31 (50.8%)	65 (65.7%)	0.063	48 (55.2%)	0.144
Body-mass index (kg/m <sup>2</sup> )	22.5 (5.1)	22.0 (4.5)	0.102	20.0 (4.1)	<b>0.001</b>
Duration of symptom onset (yrs)	5.0 (13.0)	16.0 (18.0)	<b>&lt;0.001</b>	10.0 (13.8)	0.084
Wheeze, n (%)	54.0 (90.0%)	48.0 (48.5%)	<b>&lt;0.001</b>	ND	ND
24-h sputum ≥ 10 ml, n (%)	5.0 (8.2%)	48.0 (48.5%)	<b>&lt;0.001</b>	51.0 (58.6%)	0.187
Ex- or current-smokers, n (%)	12 (19.7%)	10 (10.1%)	0.088	9 (10.3%)	0.956
<b>Etiology</b>	–	–	–	–	–
Idiopathic, n (%)	ND	50.0 (50.5%)	NA	41.0 (47.1%)	0.662
Post-infective, n (%)	ND	23.0 (23.2%)	NA	14.0 (16.1%)	0.271
Post-tuberculous, n (%)	ND	8.0 (8.1%)	NA	10.0 (11.5%)	0.465
Primary ciliary dyskinesia, n (%)	ND	2.0 (2.0%)	NA	5.0 (5.7%)	0.255
Asthma*, n (%)	ND	5.0 (5.1%)	NA	0.0 (0.0%)	0.065
Others, n (%)	ND	11.0 (11.1%)	NA	17.0 (19.5%)	0.150
Severe asthma, n (%)	41 (67.2%)	26 (26.3%)	<b>&lt;0.001</b>	NA	NA
Bronchiectasis Severity Index	ND	5.0 (4.0)	NA	8.0 (7.0)	<b>0.002</b>
HRCT Reiff score	ND	7.0 (7.0)	NA	9.0 (7.0)	<b>0.013</b>
FEV <sub>1</sub> predicted (%)	84.1 (24.4)	62.0 (33.4)	<b>&lt;0.001</b>	56.9 (34.7)	0.096
FEV <sub>1</sub> /FVC (%)	70.8 ± 11.9	62.7 ± 12.3	<b>&lt;0.001</b>	67.3 ± 14.3	0.021
<b>Laboratory test findings</b>	–	–	–	–	–
Blood leukocytes (× 10 <sup>9</sup> /L)	6.6 (2.3)	7.0 (2.7)	0.034	7.2 (2.9)	0.978
Blood neutrophils (× 10 <sup>9</sup> /L)	3.7 (1.6)	4.2 (2.2)	<b>0.013</b>	4.6 (2.1)	0.613
Blood eosinophils (× 10 <sup>9</sup> /L)	0.24 (0.28)	0.15 (0.17)	<b>&lt;0.001</b>	0.10 (0.10)	0.124
CRP (mg/dL)	0.1 (0.1)	0.2 (0.5)*	<b>&lt;0.001</b>	0.5 (0.9) <sup>#</sup>	<b>0.009</b>
Total IgE (KU/ml)	154.0 (482.1)	65.6 (160.7)*	<b>&lt;0.001</b>	ND	NA
FeNO (ppb)	38.0 (40.0)	17.0 (20.0)*	<b>&lt;0.001</b>	ND	NA
Sputum eosinophils (%)	3.9 (3.5)	2.0 (2.7)*	<b>&lt;0.001</b>	0.7 (1.2) <sup>#</sup>	<b>0.008</b>
Sputum neutrophils (%)	43.5 (45.6)	94.4 (13.9)*	<b>&lt;0.001</b>	96.3 (3.8) <sup>#</sup>	<b>0.002</b>
Positive sputum bacterial culture, n (%)	ND	45 (45.5%)	NA	58 (66.7%)	<b>0.004</b>
<b>Medications</b>	–	–	–	–	–
Low-dose maintenance macrolides, n (%)	0.0 (0.0%)	11.0 (11.1%)	<b>0.007</b>	11.0 (11.1%)	0.822
Inhaled corticosteroids, n (%)	50.0 (82.0%)	42.0 (42.4%)	<b>0.002</b>	7.0 (8.0%)	<b>&lt;0.001</b>
Oral corticosteroids, n (%)	5.0 (8.2%)	6.0 (6.1%)	0.749	0.0 (0.0%)	0.031
Long-acting muscarinic antagonists, n (%)	10.0 (16.4%)	39.0 (39.4%)	<b>0.003</b>	35.0 (40.2%)	>0.999
Long-acting beta-agonists, n (%)	51.0 (83.6%)	49.0 (49.5%)	<b>&lt;0.001</b>	23.0 (26.4%)	<b>0.002</b>

Abbreviations: ABO: asthma-bronchiectasis overlap; HRCT: high-resolution computed tomography; IgE: immunoglobulin E; FeNO: fractional exhaled nitric oxide; CRP: C-reactive protein; FEV<sub>1</sub>: forced expiratory volume in one second; FEV<sub>1</sub>/FVC: the ratio of forced expiratory volume in one second/forced vital capacity; ND: not done; NA: not applicable.

\* In ABO patients, 96 had undergone sputum cytology assays, 91 had undergone total IgE assays, 74 had undergone FeNO testing, 92 had undergone CRP assays; asthma means asthma onset prior to bronchiectasis.

# In patients with bronchiectasis, 69 had undergone sputum cytology assays and 82 had undergone C-reactive protein assays.

Bold font: P < 0.017, significant differences subjected to Bonferroni's correction.

hundred sixty-four ASVs were identified and 305 ASVs annotated to genus level were used to calculate the relative abundance (Fig. E1).

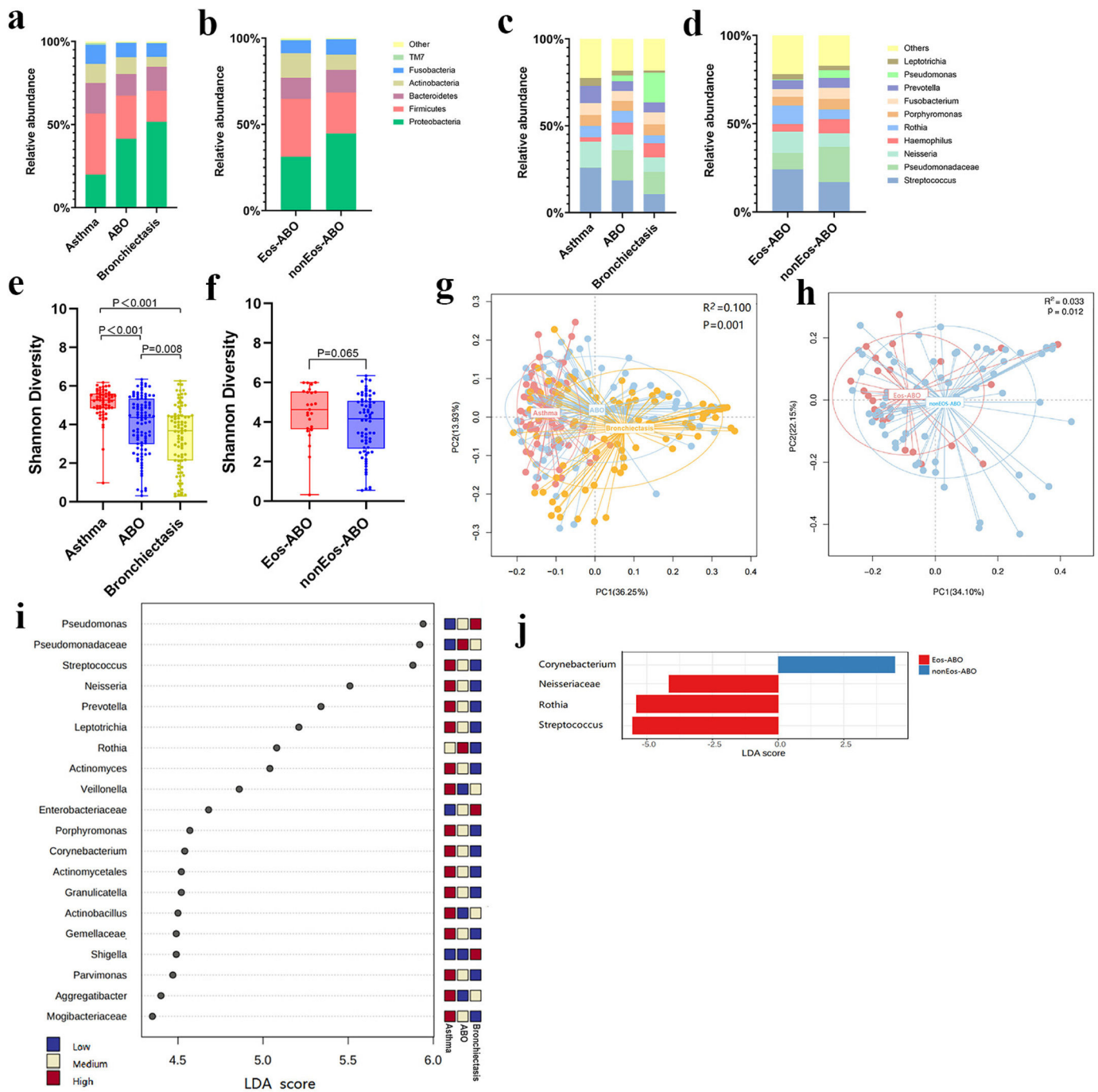
At phyla level, *Proteobacteria*, *Firmicutes* and *Bacteroidetes* dominated in both ABO (41.4%, 26.0%, 13.0%) and bronchiectasis (51.8%, 18.6%, 14.4%). By contrast, *Firmicutes*, *Proteobacteria* and *Bacteroidetes* dominated in asthma (36.8%, 19.9%, 18.4%). *Firmicutes*, *Proteobacteria* and *Actinobacteria* dominated in Eos-ABO (33.6%, 31.2%, 14.2%), while *Proteobacteria*, *Firmicutes* and *Bacteroidetes* dominated in nonEos-ABO (44.8%, 23.6%, 13.1%) (Fig. 2a and b). *Streptococcus*, *Pseudomonadaceae* and *Neisseria* dominated in ABO (18.5%, 17.6%, 8.9%), *Streptococcus*, *Neisseria* and *Prevotella* in asthma (25.9%, 14.8%, 9.8%) and *Pseudomonas*, *Pseudomonadaceae* and *Streptococcus* in bronchiectasis (17.1%, 12.8%, 10.7%). *Streptococcus*, *Neisseria* and *Rothia* dominated in Eos-ABO (24.0%, 12.0%, 10.5%), while *Pseudomonadaceae*, *Streptococcus* and *Haemophilus* in nonEos-ABO (20.0%, 16.9%, 8.0%) (Fig. 2c and d). However, the relative abundance of *Pseudomonas* or *Pseudomonadaceae* did not correlate with sputum eosinophil count in ABO.

At steady-state, the median SWDI was 4.36 (IQR: 2.35) in ABO, which was significantly lower than in asthma [5.26 (0.77),

P < 0.001], but markedly higher than in bronchiectasis [3.69 (2.35), P = 0.008]. Additionally, SWDI did not differ between Eos-ABO and nonEos-ABO. The weighted Unifrac distance were well separated (PERMANOVA R<sup>2</sup> = 0.100, P = 0.001) among asthma, ABO and bronchiectasis, as were those between Eos-ABO and nonEos-ABO (PERMANOVA R<sup>2</sup> = 0.033, P = 0.012) (Fig. 2e-h).

LEfSe analysis showed that *Pseudomonadaceae* and *Rothia* were enriched in ABO, while *Streptococcus*, *Neisseria* and *Prevotella* in asthma. *Streptococcus*, *Rothia*, and *Neisseriaceae* were enriched in Eos-ABO, while *Corynebacterium* in nonEos-ABO (Fig. 2i and j).

In microbiota co-occurrence network (Fig. 3), despite the similar total number of microbes, the microbiota interactions were most pronounced in asthma. Eos-ABO exhibited significantly more microbiota interactions than nonEos-ABO. In addition, the key genera presenting more interactions with other genera were different – *Haemophilus*/*Neisseria* in asthma, *Actinomyces*/*Pseudomonas*/*Hemophilus* in ABO, and *Streptococcus*/*Pseudomonas* in bronchiectasis. *Pseudomonas* exhibited more pronounced negative correlations with other taxa in bronchiectasis and ABO, particularly in nonEos-ABO (Table E2).

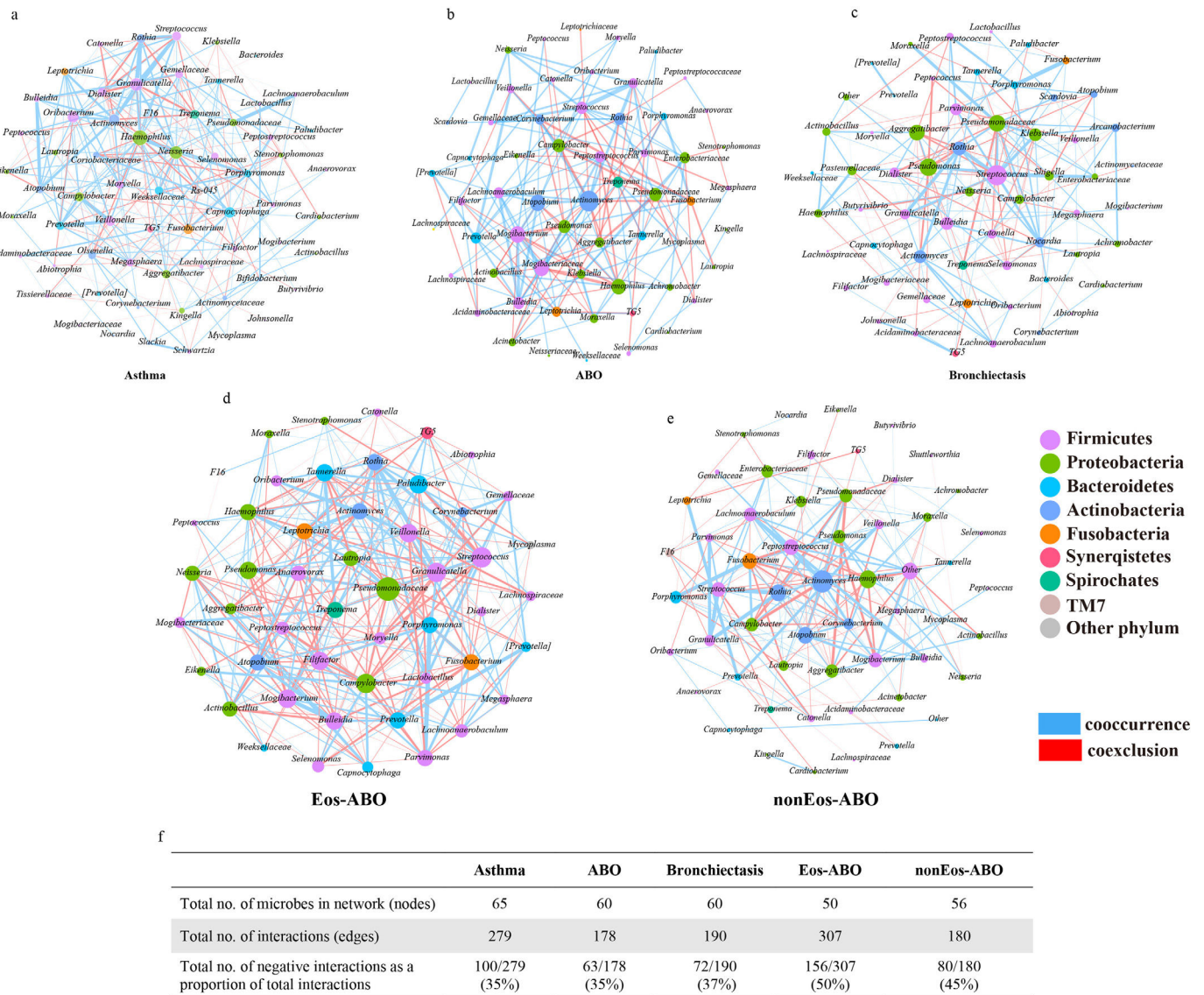


**Fig. 2.** Baseline sputum microbiota profiles of asthma, ABO and bronchiectasis. Composition of the major phyla (a and b) and taxa (c and d), and alpha diversity (Shannon-Wiener Diversity Index) (e and f) at baseline for sputum samples. The relative abundance of lung microbiota is shown at the phylum (a and b) and taxa (c and d) level at baseline. Principal coordinate analysis based on the weighted UniFrac dissimilarity for sputum samples (g and h). Linear discriminant analysis (LDA) effect size (LEfSe) showing the microbiota taxa specifically enriched (i and j) in each group (LDA >4.0, FDR  $P < 0.05$ ). ABO: asthma-bronchiectasis overlap; Eos-ABO: eosinophilic asthma-bronchiectasis overlap, nonEos-ABO: non-eosinophilic asthma-bronchiectasis overlap; FDR: false-discovery rate; LDA: linear discriminant analysis; *Pseudomonadaceae*: the genus in the family *Pseudomonas* other than *Pseudomonas*; *Neisseriaceae*: other genus in the *Neisseria* family, except for *Neisseria*, *Eisenia*, and *Ginsenia*.

### Baseline Clinical Correlates of Microbiota Compositions and Longitudinal Outcomes of ABO

Fig. 4a reveals the microbiota profiles associated with the core clinical characteristics at steady-state of ABO. BSI, E-FACED score, Reiff score and 24-h sputum >10 ml were key clinical parameters significantly associated with the taxa via Maaslin algorithm. Among patients with ABO, the median SWDI was significantly higher in patients with severe asthma than those without [5.13

(1.39) vs. 3.86 (2.29),  $P = 0.003$ ] (Fig. 4b). ABO patients with severe asthma had more prominent sputum eosinophilia and atopy (Table E3). The relative abundance of *Pseudomonadaceae* correlated with BSI, E-FACED score, modified Reiff score and FEV<sub>1</sub> pred% in ABO, while the relative abundance of *Rothia* and *Streptococcus* correlated with modified Reiff score and BSI (Fig. 4a). Furthermore, ABO patients with severe asthma had higher relative abundance of *Streptococcus*, *Neisseria*, *Rothia* and *Leptotrichia* (Fig. E2).



**Fig. 3.** Network co-occurrence analysis of microbiota communities in asthma, ABO and bronchiectasis. Baseline microbiota co-occurrence network of asthma (a), bronchiectasis (c), Eos-ABO (d) and nonEos-ABO (e), and a summary table listing the network characteristics (f). In the network map, each node represents a taxon. The size of the node is proportional to its degree of connectivity. Nodes were colored based on the phylum classification. Each edge represents a significant Spearman's correlation between the pairs of nodes (FDR- $P < 0.05$ ). The width of the edge is proportional to the absolute correlation coefficient. Edges are colored red for co-exclusion or blue for co-occurrence relationships. The significant inter-taxa Spearman's correlation coefficients being greater than 0.3 (false-discovery rate [FDR]-adjusted  $P < 0.05$ ) are displayed by microbial co-occurrence network graphics. ABO: asthma–bronchiectasis overlap; Eos-ABO: eosinophilic asthma–bronchiectasis overlap; nonEos-ABO: non-eosinophilic asthma–bronchiectasis overlap.

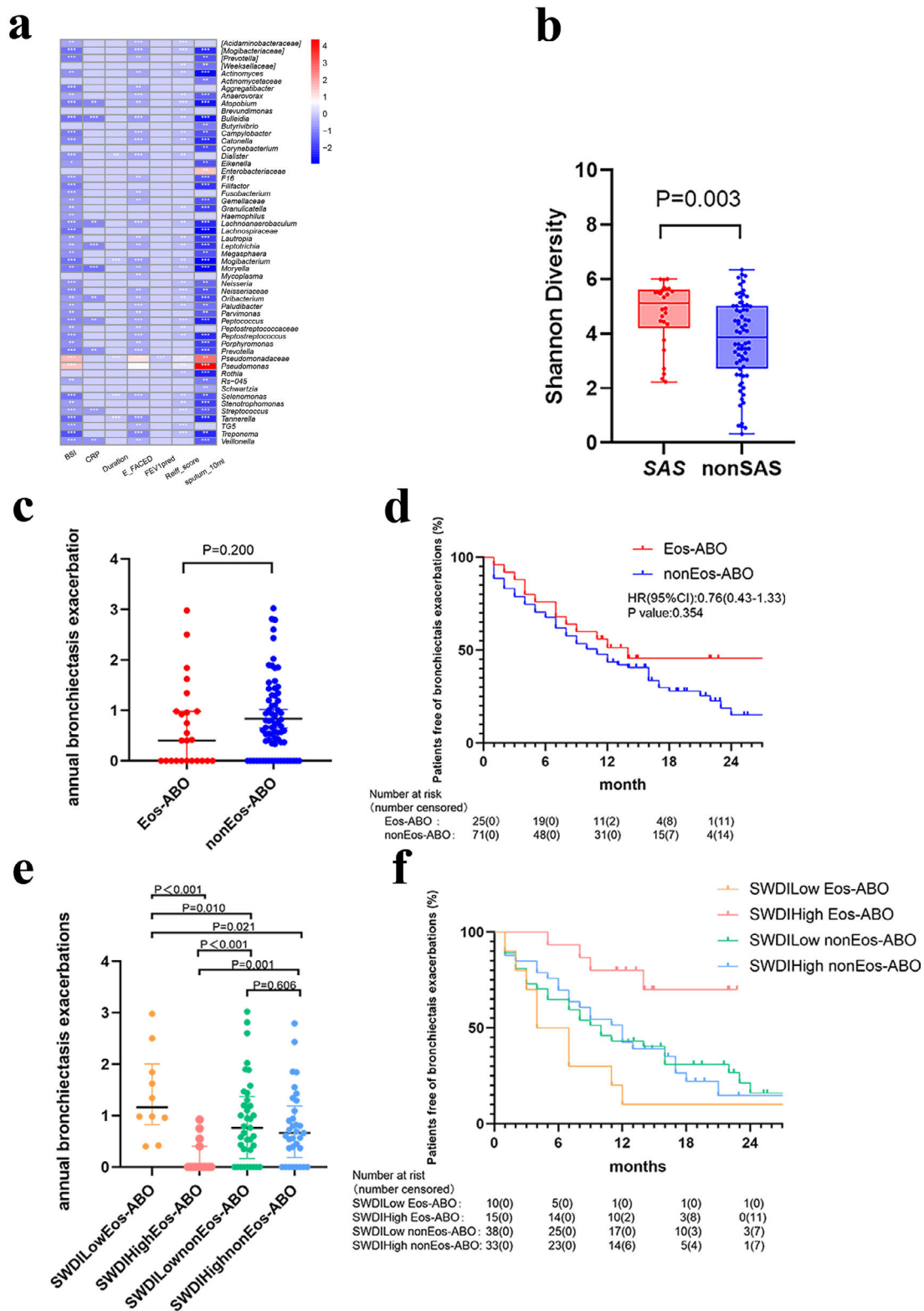
We captured 188 AEs in ABO. However, neither the annualized frequency of AE nor the time to the first AE during follow-up differed between Eos-ABO and nonEos-ABO (Fig. 4c and d).

We next stratified ABO by the median SWDI (cut-off: 4.36) and sputum eosinophilia: SWDI<sup>Low</sup> Eos-ABO ( $n = 10$ ), SWDI<sup>High</sup> Eos-ABO ( $n = 15$ ), SWDI<sup>Low</sup> nonEos-ABO ( $n = 38$ ) and SWDI<sup>High</sup> nonEos-ABO ( $n = 33$ ). During follow-up, the annualized frequency of AE was highest in SWDI<sup>Low</sup> Eos-ABO but lowest in SWDI<sup>High</sup> Eos-ABO ( $P < 0.05$ ) (Fig. 4e). *Pseudomonas* was detected in 30.0% ( $n = 3$ ) of patients in SWDI<sup>Low</sup> Eos-ABO group. The median time to the first AE cannot be calculated because of the limited episodes of AE in SWDI<sup>High</sup> Eos-ABO. Compared with SWDI<sup>High</sup> Eos-ABO, both SWDI<sup>Low</sup> Eos-ABO (HR: 8.74, 95% CI: 2.51–30.45,  $P < 0.001$ ) and SWDI<sup>High</sup> nonEos-ABO (HR: 4.00, 95% CI: 1.55–10.34,  $P = 0.039$ ) exhibited a significantly shorter time to the first AE. No significant difference was identified among other groups (Fig. 4f).

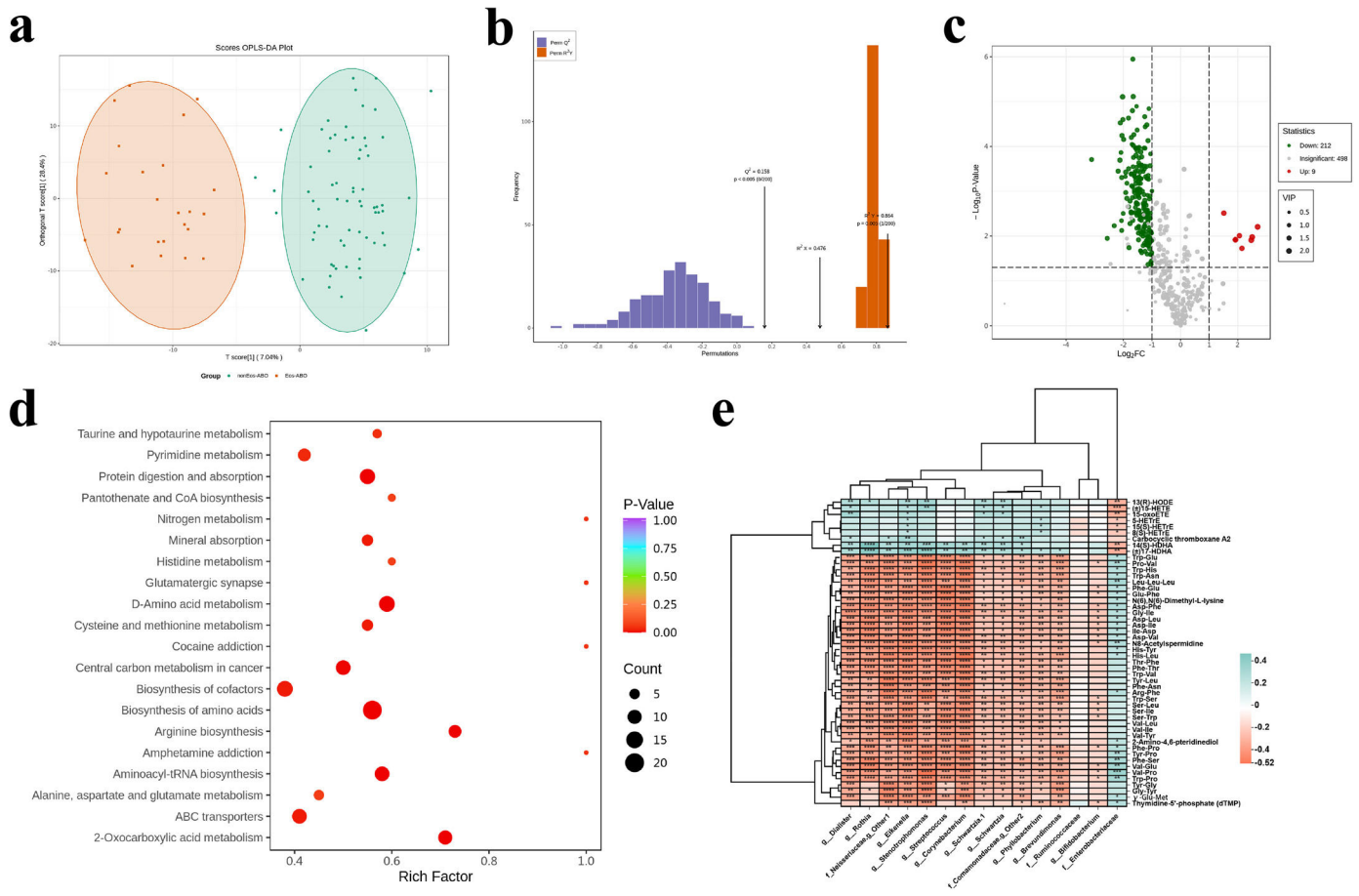
### Bacterial Taxa–Metabolite Interactions in ABO

Microbiota metabolites conferred immunomodulatory effects on CRDs.<sup>33</sup> We profiled metabolome based on the paired available sputum from 235 patients (61 asthma, 94 ABO and 80 bronchiectasis), totally revealing 1799 metabolites (Fig. E3a). After removal of contaminants (coenzyme, vitamins, carbohydrates and metabolites, heterocyclic compounds and other unannotated metabolites), 719 metabolites were analyzed (Fig. E3b). In PCA plots (Fig. E3c and d), the first (explaining 33.97% of variance) and second principal component (explaining 5.52% of variance) revealed a transition pattern from asthma to ABO and bronchiectasis. Furthermore, metabolomic compositions in Eos-ABO partly separated from nonEos-ABO based on the first (34.19% of variance) and second principal component (5.97% of variance).

The PCA plot, OPLS-DA plot and violin plot showed some separation in metabolites between Eos-ABO and nonEos-ABO at



**Fig. 4.** Baseline clinical correlates of sputum microbiota and longitudinal outcomes in ABO. (a) Heatmaps showing associations between the relative abundance of genera and clinical parameters in stable ABO (MaAsLin2 analyses). Strength and direction of the associations are indicated by the color scale of the regression coefficient. FDR was controlled with a  $P$  value cut-off  $<0.05$ ; white indicates non-significant associations.  $*P < 0.05$ ,  $**P < 0.01$ ,  $***P < 0.001$ . (b) Shannon-Wiener Diversity Index between SAS and nonSAS. (c–f) Risk of bronchiectasis exacerbations during the 2-year longitudinal follow-up in ABO. (c and d) Annual exacerbation frequency and the risks of bronchiectasis exacerbations between Eos-ABO and nonEos-ABO. (e and f) Annual bronchiectasis exacerbation frequency and the risk of bronchiectasis exacerbations among ABO patients stratified by the median SWDI ( $<4.36$ ) and sputum eosinophilia. BSI: Bronchiectasis Severity Index; Duration: from first time of diagnosis; CRP: C-reactive protein; Reiff\_score: Reiff score of bronchiectasis based chest CT; sputum\_10ml: the presence of 24-h sputum volume higher than 10 ml; *Pseudomonadaceae*: the genus in the family *Pseudomonas* other than *Pseudomonas*; SAS: severe asthma; nonSAS: non-severe asthma; Eos-ABO: eosinophilic asthma-bronchiectasis overlap; nonEos-ABO: non-eosinophilic asthma-bronchiectasis overlap; SWDI<sup>Low</sup> Eos-ABO: the median SWDI  $<4.36$  with sputum eosinophilia; SWDI<sup>High</sup> Eos-ABO: the median SWDI  $>4.36$  with sputum eosinophilia; SWDI<sup>Low</sup> nonEos-ABO: the median SWDI  $<4.36$  without sputum eosinophilia; SWDI<sup>High</sup> nonEos-ABO: the median SWDI  $>4.36$  without sputum eosinophilia; HR: hazards ratio.



**Fig. 5.** Differential sputum metabolomic features in Eos-ABO and nonEos-ABO. (a) OPLS-DA score plot. (b) OPLS-DA validation plot (intercept:  $Q^2 = 0.128$ ,  $P = 0.010$ ). (c) Volcano plot showing the differentially enriched [ $\log_2$  (fold-change) on X-axis] and differentially expressed [ $-\log_{10}$  ( $FDR$ - $P$ ) on Y-axis] metabolites in Eos-ABO and nonEos-ABO. Green represents down-regulated metabolites, while red represents up-regulated metabolites in Eos-ABO. (d) Bubble plot of the top 20 enriched metabolic pathways. Rich factor is the ratio of the number of differentially expressed metabolites in the corresponding pathway to the total number of metabolites detected and annotated by the pathway. The higher value of the rich factor, the greater the degree of enrichment.  $P$  value is the hypergeometric test  $P$  value. The closer to 0, the greater significance of the enrichment. The count represents the number of differentially significant metabolites enriched into the corresponding pathway. (e) Spearman's correlation analysis between the top differential taxa and metabolites. The Spearman's correlation coefficient  $r$  is represented by the intensity of the color, with green indicating a positive correlation and red negative correlation. **Abbreviations:** OPLS-DA: orthogonal partial least-squares discriminant analysis; FDR: false-discovery rate; Eos-ABO: eosinophilic asthma-bronchiectasis overlap; nonEos-ABO: non-eosinophilic asthma-bronchiectasis overlap.

steady-state (Figs. E3d and E4a and Fig. 5a and b), where 221 differential metabolites (variable importance in projection  $>1$ ,  $P < 0.05$ ) were identified (9 up-regulated, 212 down-regulated metabolites) (Fig. 5c and Figs. E3b and E4). Differential metabolites were mapped into 96 *Kyoto Encyclopedia of Genes and Genomes* pathways. These included biosynthesis of amino acids ( $n = 20$ ), cofactors ( $n = 13$ ), D-amino acid metabolism ( $n = 13$ ), protein digestion and absorption ( $n = 12$ ) and ABC transporters ( $n = 11$ ) (Fig. E5). The top 20 significantly enriched pathways are displayed in Fig. 5d. 15-Hydroxylated eicosatetraenoic acid (15-HETE), a precursor of prostaglandin A4, is a pro-inflammatory mediator associated with arachidonic acid metabolism.<sup>34-36</sup> The concentration of 15-HETE was highest in asthma, but no significant difference was identified between ABO and bronchiectasis. 15-HETE concentration was higher in Eos-ABO than in nonEos-ABO.

Finally, we explored the microbial-metabolic pathways. LEfSe analysis identified the taxa with the greatest differences in relative abundance between Eos-ABO and nonEos-ABO (Fig. E6). Of 29 taxa with  $\log_{10}$ LDA scores greater than 2.0, 15 taxa at family or genus levels were selected for correlation analysis with the top 50 differential metabolites. Specifically, the relative abundance of *Enterobacteriaceae* correlated negatively with the concentration of 15-HETE (Fig. 5e and Table E4).

## Discussion

Both microbiota and metabolites were well distinguished among asthma, ABO and bronchiectasis, and between Eos-ABO and nonEos-ABO at steady-state. The microbiota correlated with the clinical characteristics, and predicted longitudinal outcomes. Integrating microbiota diversity and eosinophilic endotypes led to the identification of SWDI<sup>LOW</sup>Eos-ABO, an exacerbation-prone subgroup. More microbiota interactions were identified in nonEos-ABO than in Eos-ABO, and *Pseudomonas* exhibited more prominent negative correlation with other taxa in nonEos-ABO. These might be partially interpreted by some putative microbiota-metabolite associations (e.g. the *Enterobacteriaceae*-15-HETE associations).

Compared with asthma and bronchiectasis, ABO exhibited an intermediate SWDI and weighted Unifrac distance, revealing the enrichment of *Pseudomonadaceae* that correlated with bronchiectasis severity. These echoed published findings that enrichment of *Pseudomonas* spp. and lower SWDI closely correlated with greater symptom burdens and poorer lung function in bronchiectasis.<sup>13</sup> Both *Pseudomonadaceae* and *Rothia* that yielded the highest discriminative capacity of ABO. Some genera reportedly discriminated severe from non-severe asthma.<sup>37,38</sup> This included the enrichment of *Streptococcus*<sup>37</sup> and *Pseudomonas*<sup>38</sup> in severe asthma.



Contrasting with findings from COPD–bronchiectasis association,<sup>39</sup> we did not identify a greater similarity of microbiota and metabolomic compositions between ABO and bronchiectasis than asthma.

We identified more microbiota interactions in Eos-ABO than in nonEos-ABO, and more pronounced negative correlation between *Pseudomonas* and other taxa in Eos-ABO. Microbiota diversity alone was insufficient to explain for exacerbation onset,<sup>15</sup> and microbiota interactions were more prominent than the diversity or relative abundance at exacerbation.<sup>15</sup> Regarding microbiota compositions, both *Rothia* and *Streptococcus* were two most discriminative genera in Eos-ABO. *Streptococcus*, *Rothia*, and *Porphyromonas* were reportedly enriched in eosinophilic asthma<sup>17</sup> and *Enterobacteriaceae* and *Actinobacteriaceae* were enriched in eosinophilic asthma.<sup>38</sup> Our findings echoed those reported in bronchiectasis, which yielded significant correlations between blood eosinophilia and microbiota compositions dominated with *Streptococcus* and *Pseudomonas*, and the enrichment of *Neisseria*, *Rothia*, and *Pseudomonas*.<sup>18</sup> Collectively, *Rothia* and *Streptococcus* might be microbiota signatures of eosinophilic endotype in ABO. Moreover, our study highlighted the complexity of microbiota–metabolite associations. The 15-HETE has been associated with arachidonic acid metabolism, exhibited a more pronounced elevation in Eos-ABO compared with nonEos-ABO. The elevated 15-HETE levels<sup>34–36</sup> was indicative of an excessive allergic inflammatory response in Eos-ABO.

ABO reportedly exhibited higher future risks of AE compared with bronchiectasis or asthma.<sup>4</sup> However, the risk of AE did not differ between Eos-ABO and nonEos-ABO. A core controversy pertains to the roles of eosinophils: “friend” vs. “foe”. Blood eosinophilia was associated with shorter time to the next AE in bronchiectasis,<sup>18</sup> but blood eosinophils <100/μL and chronic bronchial infection were independently associated with the risk of pneumonia in COPD.<sup>40</sup> Hence, bacterial infection might confound the association between blood eosinophilia and AE risk. Here, eosinophilia predisposed to increased AE risks in patients with lower SWDI but mitigated the risk of AE among those with higher SWDI. Therefore, anti-inflammatory treatment targeting at T2 inflammation in ABO should be tailored to the microbiota diversity.

Some limitations should be acknowledged. First, selection bias cannot be precluded because we solely enrolled outpatients with predominant features of bronchiectasis (73% of patients with ABO). Second, some AE events might have been underreported despite regular telephone or hospital visits. Third, the existing criteria for defining AE in ABO might preferentially reflect bronchiectasis instead of asthma exacerbations. Fourth, age- and sex-matched healthy controls were not enrolled. Fifth, metagenome sequencing might help identify pathobionts that differentiate asthma from bronchiectasis and ABO. Sixth, the inclusion of induced sputum might have confounded some of microbiota/metabolite findings.

In summary, integration of microbiota and metabolome profiles could identify molecular underpinnings of the heterogeneity of ABO, which in conjunction with inflammatory endotyping, can inform future risk of AE and personalized management of ABO.

## CRediT Authorship Contribution Statement

X.X.Z., J.H.H., Z.F.H., C.Z.M and W.J.G. drafted the manuscript; X.X.Z., Z.F.H., and W.J.G. contributed to conception and design; X.X.Z., Z.F.H., Z.M.C., Z.H.L., C.X.P., L.J.C., Y.H., H.M.L., M.X.S. and J.H.H. were responsible for patient recruitment and data collection; X.X.Z., Z.F.H., and J.H.H. performed data analysis; W.J.G. critically revised the manuscript.

## Consent for Publication

All patients signed written informed consent.

## Declaration of Generative AI and AI-assisted Technologies in the Writing Process

No artificial intelligence was involved.

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## Conflict of Interests

The authors declare not to have any conflicts of interest that may be considered to influence directly or indirectly the content of the manuscript.

## Data Availability

All data generated or analysed during this study are included in this published article [details are provided in [Online Supplement](#) or deposited in GitHub under <https://github.com/Dr-Hezf/Dr-Zhang-ABO-microbiota.git>].

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## Appendix A. Supplementary Data

Supplementary data associated with this article can be found in the online version available at <https://doi.org/10.1016/j.arbres.2025.01.002>.

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