



Original Article

Effect of Nasal Continuous Positive Pressure on the Nostrils of Patients with Sleep Apnea Syndrome and no Previous Nasal Pathology. Predictive Factors for Compliance[☆]



Francina Aguilar,^{a,*} Ariel Cisternas,^b Josep Maria Montserrat,^{c,d,e,f} Manuel Àvila,^g Marta Torres-López,^{c,e,g} Alex Iranzo,^{c,e,f} Joan Berenguer,^h Isabel Vilaseca^{c,d,e}

^a Servicio de Otorrinolaringología, Hospital General de Granollers, Granollers, Barcelona, Spain

^b Unidad de Medicina del Sueño, Instituto Nacional del Tórax, Santiago de Chile, Chile

^c Unidad Multidisciplinar de Trastornos del Sueño, Hospital Clínic, Barcelona, Spain

^d Ciber Enfermedades Respiratorias (CIBERES), Bunyola, Islas Baleares, Spain

^e Facultad de Medicina, Universitat de Barcelona, Barcelona, Spain

^f Institut d'Investigació Biomèdica August Pi i Sunyer (IDIBAPS), Barcelona, Spain

^g Servicio de Radiodiagnóstico, Hospital Universitari Germans Trias i Pujol, Badalona, Barcelona, Spain

^h Servicio de Radiodiagnóstico, Hospital Clínic, Barcelona, Spain

ARTICLE INFO

Article history:

Received 4 February 2016

Accepted 8 May 2016

Available online 9 August 2016

Keywords:

Sleep apnea syndrome

Rhinitis

Nasal inflammation

Mucociliary transport

Continuous positive airway pressure compliance

ABSTRACT

Objective: To evaluate the effect of continuous positive airway pressure (CPAP) on the nostrils of patients with sleep apnea-hypopnea syndrome and its impact on quality of life, and to identify predictive factors for compliance.

Methods: Longitudinal prospective study. Thirty-six consecutive patients evaluated before and 2 months after CPAP using the following variables: clinical (eye, nose and throat [ENT] symptoms, Epworth test, anxiety/depression scales, general and rhinoconjunctivitis-specific quality of life); anatomical (ENT examination, computed tomography); functional (auditive and Eustachian tube function, nasal flow, mucociliary transport); biological (nasal cytology); and polisomnographics. The sample was divided into partients with good adherence (≥ 4 h/d) and patients with bad adherence (<4 h/d).

Results: A significant improvement was observed in daytime sleepiness ($P=0.000$), anxiety ($P=0.006$), and depression ($P=0.023$). Nasal dryness ($P=0.000$), increased neutrophils in nasal cytology ($P=0.000$), and deteriorating ciliary function were evidenced, particularly in partients with good adherence. No significant differences were observed in the other variables. Baseline sleepiness was the only factor predictive of compliance.

Conclusions: CPAP in patients without previous nasal pathology leads to an improvement in a series of clinical parameters and causes rhinitis and airway dryness. Some ENT variables worsened in partients with good adherence. Sleepiness was the only prognostic factor for poor tolerance.

© 2016 SEPAR. Published by Elsevier España, S.L.U. All rights reserved.

Efecto de la presión positiva continua nasal sobre las fosas nasales de pacientes con síndrome de apneas del sueño sin patología nasal previa. Factores predictivos de cumplimiento

RESUMEN

Palabras clave:

Síndrome de apnea del sueño

Rinitis

Objetivo: Evaluar el efecto de la presión positiva continua en la vía aérea (CPAP) sobre las fosas nasales de pacientes con síndrome de apnea hipopnea del sueño y su impacto en la calidad de vida, e identificar factores predictivos de cumplimiento.

☆ Please cite this article as: Aguilar F, Cisternas A, Montserrat JM, Àvila M, Torres-López M, Iranzo A, et al. Efecto de la presión positiva continua nasal sobre las fosas nasales de pacientes con síndrome de apneas del sueño sin patología nasal previa. Factores predictivos de cumplimiento. Arch Bronconeumol. 2016;52:519–526.

* Corresponding author.

E-mail addresses: francinaaguilar@hotmail.com, faguilar@fhag.es (F. Aguilar).

Inflamación nasal
Transporte mucociliar
Cumplimiento de la presión positiva continua en la vía aérea

Métodos: Estudio prospectivo longitudinal. Treinta y seis pacientes consecutivos evaluados antes y 2 meses tras CPAP usando las siguientes variables clínicas (síntomas otorrinolaringológicos, test de Epworth, escala de ansiedad/depresión, calidad de vida general y específica para rinoconjuntivitis); anatómicas (exploración otorrinolaringológica, tomografía computarizada); funcionales (función auditiva y tubárica, flujo nasal, transporte mucociliar); biológicas (citología nasal), y polisomnográficas. Se dividió la muestra entre cumplidores (≥ 4 h/d) y no cumplidores (<4 h/d).

Resultados: Se objetivó una mejoría significativa en la somnolencia diurna ($p=0,000$), la ansiedad ($p=0,006$) y la depresión ($p=0,023$). Se evidenció sequedad nasal ($p=0,000$), aumento de neutrófilos en la citología nasal ($p=0,000$) y deterioro de la función ciliar, especialmente en cumplidores. No se evidenciaron diferencias significativas en el resto de las variables. La somnolencia inicial fue el único factor pronóstico de cumplimiento.

Conclusiones: El tratamiento con CPAP en pacientes sin patología nasal previa mejora una serie de parámetros clínicos y provoca rinitis y sequedad en la vía aérea. Algunas de las variables otorrinolaringológicas empeoran en los cumplidores. La somnolencia fue el único factor pronóstico de mala tolerancia.

© 2016 SEPAR. Publicado por Elsevier España, S.L.U. Todos los derechos reservados.

Introduction

Sleep apnea-hypopnea syndrome (SAHS) is characterized by complete or partial obstruction of the upper airway while the patient is asleep, accompanied by oxyhemoglobin desaturation or micro-awakenings. SAHS is associated with a higher risk of cardiovascular disease, arterial hypertension, neurocognitive changes and death.¹ Continuous positive airway pressure (CPAP) is the treatment of choice in most patients with moderate-severe SAHS, and helps control the disease, improves quality of life and reduces morbidity and mortality.²

Treatment must be applied continuously to be effective, but adverse reactions mean that mid-term compliance is only achieved in 60% of patients.³ CPAP tolerability and compliance studies have shown varying results.⁴ Lack of compliance has been associated with mask discomfort, feeling of claustrophobia, noise disturbance, nasal inflammation, pharyngeal dryness, eye symptoms, non-specific ear discomfort, sleepiness or excessive fatigue.^{5,6} The patient's psychological profile must also be taken into account, and educational and behavioral support play an important role in improving tolerability and compliance.^{7,8}

CPAP-related rhinitis is a significant problem, but it is not always associated with poorer compliance.⁹ We hypothesized that an in-depth study of changes occurring in the eyes, nose and throat (ENT) of patients receiving CPAP treatment could lead to the detection and early treatment of potential patients with bad adherence, and that personalized medicine in these cases will optimize management.

Our objective was to analyze changes occurring in the nostrils of SAHS patients with no previous nasal pathology after the introduction of CPAP, and the impact on treatment compliance.

Materials and Methods

Design

This was a prospective longitudinal study. Forty-one CPAP candidates, consecutively diagnosed with SAHS on polysomnography (apnea-hypopnea index [AHI]>10), were evaluated before and 2 months after starting CPAP treatment according to clinical, anatomical, functional, biological and polysomnographic variables. Each of the ENT evaluations and additional tests was performed by the same assessor, depending on the variable, before and after CPAP treatment. In all cases, the assessor was blinded as to the results of the other variables.

Inclusion and Exclusion Criteria

Only patients over 18 years of age were included. Excluded patients were those taking corticosteroids, antihistamines (nasal

or systemic), or rhinitis-inducing medications (Table 1); patients previously treated with CPAP, BIPAP or oxygen; and patients with central apneas or previous nasal pathologies. All patients signed informed consent before study start.

Clinical Variables

Daytime sleepiness was quantified according to the Epworth scale,¹⁰ with a score of >11 being considered excessive.¹¹ Patient mood was determined using the hospital anxiety-depression scale (HAD)¹² and general quality of life was assessed using the SF-12 questionnaire.¹³

ENT clinical symptoms were studied as follows: Nasal congestion was evaluated according to the Nasal Obstruction Symptom Evaluation scale (NOSE¹⁴; 0=no obstruction, 1=mild obstruction, 2=moderate obstruction, 3=severe obstruction). Rhinitis symptoms (nasal secretion, nasal pruritus, and sneezing) were classified according to the Rasp staging system¹⁵ (0=asymptomatic, 1=mild symptoms, 2=moderate symptoms, 3=severe symptoms). Nasopharyngeal dryness, hearing problems (hypoacusia and tinnitus) and skin and eye problems (skin discomfort in the area of contact with the mask and eye irritation from mask air leaks) were evaluated individually on a scale of 0–3, in a similar manner to the Rasp scale. Specific quality of life associated with ENT symptoms was studied using the Rhinoconjunctivitis Quality of Life Questionnaire.¹⁶

Anatomical Variables

Otoscopy and rhinoscopy were classified as normal or abnormal.

Table 1
Rhinitis-inducing Drugs.

Antihypertensives (reserpine, guanethidine, phentolamine, angiotensin-converting enzyme inhibitors, methyldopa, adrenergic α -blockers [terazosine], β -blockers, terazosine, hydralazine, doxasozine, clonidine, prasozin)
Acetylsalicylic acid and other aspirin-derived non-steroidal anti-inflammatory drugs
Antihistamines
Tricyclic antidepressants
Ketotifen and astemizole
Oral and topical corticosteroids
Oral contraceptives and estrogen and/or progestogen preparations
Chlorpromazine
Neuroleptics
Topical ocular agents (beta-blockers, pilocarpine, etc.)
Benzalkonium chloride (in some topical vasoconstrictors)
Antibiotics (spiramycin, penicillin, etc.)
Nasal decongestants
Cocaine

Excess weight or obesity was evaluated using the *body mass index* and *waist circumference*.

Nasal cavity volumes were calculated in cm³ from multidetector computed tomography (CT) images (Siemens Sensation 64 with 3 mm slices).

Functional Variables

Nasal respiratory flow (cm³/s) in each nostril was evaluated by active anterior rhinomanometry (Rinospir Pro Rhinometer, SIBEL S.A., Spain) at a pressure of 150 Pa with the patient in a sitting position. Total flow (right+left) was considered normal at >630 cm³/s in women and >700 cm³/s in men.¹⁷

Mucociliary clearance was measured using the saccharin test,¹⁸ with a score of >60 minutes considered abnormal.

*Tubaric function*¹⁹ was evaluated by tympanometry (GSI TympStar impedance meter, Grason-Stadler, Eden Prairie, USA). Maximal distensibility between +50 and –100 mmH₂O was considered normal. *Auditive function*¹⁹ was evaluated by pure-tone audiometry (GSI TympStar audiometer, Grason-Stadler, Eden Prairie, USA) at frequencies of 250–8000 Hz. Normal hearing was response to a stimulus of <25 decibels at conversational frequencies.

Biological Variables

Total immunoglobulin E in blood (normal≤100 U/ml (240 mg/l) and eosinophils in blood (normal<5%) were determined. The presence of nasal inflammation was evaluated by cytology obtained from curettage of the inferior turbinate mucosa (Rhinoprobe®). The number of eosinophils and neutrophils was calculated on a basis of 500 epithelial cells (neither eosinophils nor neutrophils are observed in healthy, disease-free individuals).

Polysomnography Variables

Respiratory variables were evaluated according to Spanish Society of Pulmonology and Thoracic Surgery (SEPAR) guidelines,^{20,21} and neurological variables according to the guidelines of Rechtschaffen and Kales.²² AHI, percentage of time with oxygen saturation <90% (CT 90), maximum oxygen desaturation during the night (*nadir*) and CPAP pressure were quantified.

Follow-up

All patients were monitored in the hospital's Sleep Unit following standard practices, which include an initial visit at 2–4 weeks for CPAP titration. Patients with no specific difficulties were monitored 2–3 months later, and those who required specific readjustments were monitored as needed, until the problem was solved. The CPAP device, equipped to detect residual events, CPAP pressure, leaks and compliance over a period of several days, was used to monitor for intolerance and non-compliance at the recommended pressure.

In line with our working protocol, all study variables were re-evaluated 2 months after starting CPAP, during which time none of the patients received treatment which might have altered the results.

Compliance was evaluated subjectively using a standardized questionnaire and a visual analog scale (0–10), and automatically from the CPAP counter recordings (time in hours and night of CPAP use). Adequate compliance was considered as 4 hours/day every day of the week;²³ according to these results, 2 study groups were established, partients with good adherence (≥4 h/d) and patients with bad adherence (<4 h/d).

Statistical Methodology

Variables were collected in a database and analyzed using the SPSS 18 statistical package. Quantitative variables are expressed as mean±standard deviation or as percentages, as applicable. The Wilcoxon test or the Chi-squared test was used to analyze variables before and after CPAP. The Mann-Whitney U test was used to compare differences between partients with good adherence and patients with bad adherence. A multivariate analysis was performed to evaluate predictive factors for lack of CPAP compliance. A P-value of <.05 was considered statistically significant.

Results

A total of 41 consecutive CPAP candidates with a diagnosis of SAHS were included. Five were excluded after the initial evaluation when exclusion criteria were detected. Of the remaining 36 (31 men and 5 women with a mean age of 55.72±9.50 years), only 31 completed the 2-month study (Fig. 1). Twenty of these were partients with good adherence and 11 were patients with bad adherence. Results of variables before and after CPAP are described in Table 2. A significant improvement in daytime sleepiness (P=.000), anxiety (P=.006), and depression (P=.023) was observed. Nasal dryness (P=.000) and elevated neutrophils in nasal cytology (P=.000) were also detected. No significant differences were found among the other variables.

Partients with good adherence (Table 3) showed a more significant improvement on the Epworth test (P=.000), and anxiety (P=.052) and depression (P=.054) showed a clear trend toward improvement. Surprisingly, partients with good adherence had a higher rate of nasal dryness (P=.001), higher neutrophil levels in nasal cytology (P=.002), tended to have worsening mucociliary clearance (P=.050), and blood eosinophils were higher (P=.058) but within normal limits (<5%).

Patients with bad adherence only showed worsening body pain (P=.046).

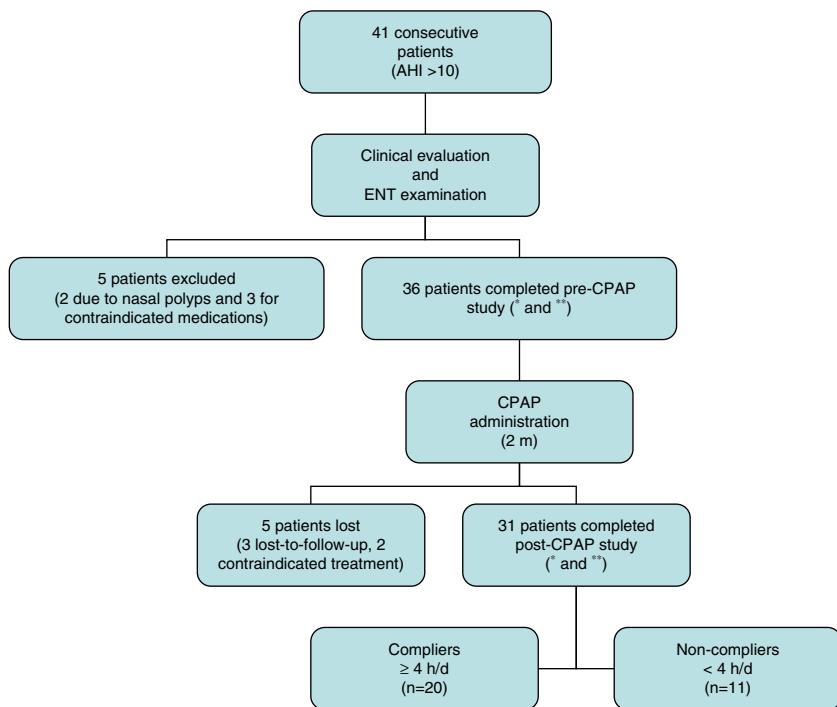
In the univariate study (Table 4), daytime sleepiness was the only predictive factor for non-compliance (P=.014), and a trend toward poorer compliance was also observed in patients with poorer general health status (P=.059) and better CT 90 (P=.064). In the multivariate analysis, a higher Epworth score was the only independent factor for non-compliance (odds ratio: 1.243; confidence interval: 1.000–1.545]; P<.050).

Discussion

The results of this study are surprising and diverge from the proposed hypothesis by showing that while CPAP improves sleepiness and anxiety-depression, it causes, particularly in partients with good adherence, rhinitis, airway dryness, and slower mucociliary clearance. No significant changes were found in other study variables. The only prognostic factor for intolerance was initial sleepiness; none of the ENT variables were prognostic of this outcome.

Some authors consider that the main cause of CPAP intolerance is treatment-related rhinitis, but the published results vary widely. Others have evaluated if patients with CPAP-associated rhinitis improve if the rhinitis is treated, but results were unsatisfactory.²⁴ We were unable to find any evidence that CPAP administered in patients without previous disease causes clinically significant rhinitis, or if this was a reason for therapeutic non-compliance. Nor did we observe any improvement in nasal symptoms in compliant patients.

Previous studies have evaluated the appearance or increase of neutrophils and/or other inflammatory cells in the nasal cytology of

**Fig. 1.** Patient disposition.

*Includes full medical history, body mass index, head circumference, otoscopy and flexible fiberoptic nasal endoscopy.

**Includes standardized symptom and quality of life questionnaires, audiometry, impedanciometry, rhinomanometry, saccharin test, nasal curettage, and nasal cavity computed tomography.

AHI: apnea-hypopnea index; CPAP: continuous positive airway pressure; ENT: eyes, nose and throat; h/d: hours/day; m: months.

Table 2

Clinical, Anatomical, Functional, Biological and Quality of Life Variables Before and After CPAP in all Study Patients.

Variables	Pre-CPAP (n=31) Mean±Standard Deviation	Post-CPAP (n=31) Mean±Standard Deviation	P
<i>Epworth</i>	13.61±5.22	8.19±3.67	.000
<i>Nasal obstruction</i>	1.45±1.31	1.55±1.39	.700
<i>Rhinitis symptoms</i>	0.86±1.06	134±1.76	.090
<i>Nasal dryness</i>	0.00±0.00	1.52±1.29	.000
<i>Hearing problems</i>	0.43±0.62	0.33±0.67	.180
<i>Skin and eye problems</i>	0.68±1.19	0.71±1.16	.705
<i>HAD</i>			
Anxiety	7.48±3.92	5.55±3.16	.006
Depression	5.16±3.90	3.68±3.43	.023
<i>CT (cm³)</i>	80.46±53.80	79.78±55.83	.395
<i>Otoscopy (normal/abnormal)</i>	29/2	29/2	1
<i>Rhinoscopy (normal/abnormal)</i>	6/25	6/25	1
<i>Rhinomanometry (cm³)</i>	833.07±286.72	834.53±259.73	.665
<i>Saccharin test (min)</i>	31.06±23.13	36.97±2452	.117
<i>Impedanciometry (normal/abnormal)</i>	30/1	30/1	1
<i>Audiometry (normal/abnormal)</i>	31/0	31/0	1
<i>IgE (U/ml)</i>	134.71±393.16	87.13±147.60	.195
<i>Eosinophilia (%)</i>	2.45±1.38	2.87±1.87	.090
<i>Cytology (number of inflammatory cells/500 epithelial cells)</i>			
Eosinophils	0.03±0.11	0.09±0.27	.234
Neutrophils	0.69±1.71	5.66±9.39	.000
<i>SF-12</i>			
GH	44.51±25.73	48.50±26.33	.587
PF	74.19±24.56	72.50±28.87	.642
PR	70.16±22.97	75.41±24.00	.083
ER	73.61±22.11	75.81±21.89	.277
BP	76.61±21.34	82.50±23.80	.115
MH	63.70±22.90	68.33±21.20	.233
VT	41.93±24.48	47.50±25.72	.193
SF	76.61±28.08	82.50±25.55	.148
<i>RQLQ</i>	1.48±1.10	1.26±1.13	.493

BP: body pain; CT: computed tomography; GH: general health; HAD: Hospital Anxiety and Depression scale; IgE: immunoglobulin E; MH: mental health; PF: physical function; ER: emotional role; PR: physical role; RQLQ: Rhinoconjunctivitis Quality of Life Questionnaire; SF: social function; SF-12: abbreviated SF-36 quality of life questionnaire; VT: vitality.

Table 3

Clinical, Anatomical, Functional, Biological and Quality of Life Variables Before and After CPAP in Patients with good adherence and Patients with bad adherence.

Variables	Patients with good adherence (n=20)			Patients with bad adherence (n=11)		
	Pre-CPAP Mean±Standard Deviation	Post-CPAP Mean±Standard Deviation	P	Pre-CPAP Mean±Standard Deviation	Post-CPAP Mean±Standard Deviation	P
<i>Epworth</i>	11.90±4.70	7.55±2.66	.000	16.73±4.83	9.36±4.94	.005
<i>Nasal obstruction</i>	1.65±1.39	1.50±1.40	.582	1.09±1.13	1.64±1.43	.221
<i>Rhinitis symptoms</i>	1.06±1.11	1.50±1.76	.305	0.55±0.93	1.09±1.81	.131
<i>Nasal dryness</i>	0.00±0.00	1.55±1.23	.001	0.00±0.00	1.45±1.44	.023
<i>Hearing problems</i>	0.47±0.70	0.42±0.77	.564	0.36±0.50	0.18±0.40	.157
<i>Skin and eye problems</i>	0.60±1.19	0.60±1.14	1.000	0.82±1.25	0.91±1.22	.317
<i>HAD</i>						
Anxiety	7.20±4.14	5.45±3.02	.052	8.00±3.63	5.73±3.55	.040
Depression	4.65±4.12	3.15±3.05	.054	6.09±3.44	4.64±4.00	.233
<i>CT (cm³)</i>	73.80±51.47	71.91±51.13	.113	93.02±58.94	94.64±64.30	.441
<i>Otoscopy (normal/abnormal)</i>	19/1	19/1	1	10/1	10/1	1
<i>Rhinoscopy (normal/abnormal)</i>	4/16	4/16	1	2/9	2/9	1
<i>Rhinomanometry (cm³/s)</i>	832.12±301.94	848.80±224.75	.758	834.55±224.46	806.00±330.71	.878
<i>Saccharin test (min)</i>	30.40±23.72	39.40±24.85	.050	32.27±23.12	32.55±24.46	.735
<i>Impedanciometry (normal/abnormal)</i>	19/1	19/1	1	11/0	11/0	1
<i>Audiometry (normal/abnormal)</i>	20/0	20/0	1	11/0	11/0	1
<i>IgE (U/ml)</i>	64.56±89.77	49.63±39.86	.221	261.00±648.79	151.91±230.24	.540
<i>Eosinophilia (%)</i>	2.70±1.53	3.35±2.13	.058	2.00±1.00	2.00±0.77	.000
<i>Cytology (number of inflammatory cells/500 epithelial cells)</i>						
Eosinophils	0.50±0.14	0.07±0.21	.457	0.00±0.00	0.10±0.36	.317
Neutrophils	0.76±2.04	7.47±11.15	.002	0.55±0.94	2.39±3.14	.033
Variables	Patients with good adherence (n=20)			Patients with bad adherence (n=11)		
	Pre-CPAP Mean±Standard Deviation	Post-CPAP Mean±Standard Deviation	P	Pre-RECPAP Mean±Standard Deviation	Post-CPAP Mean±Standard Deviation	P
<i>SF-12</i>						
GH	50.71±23.47	48.50±26.06	.491	31.50±26.56	48.50±28.28	.213
PF	73.81±25.59	73.75±26.25	.917	75.00±23.57	70.00±34.96	.414
PR	72.62±22.57	80.00±23.79	.079	65.00±24.15	66.25±22.85	.705
ER	73.21±22.11	77.50±20.92	.415	67.50±24.43	71.25±24.33	.524
BP	79.76±20.34	83.75±24.70	.454	70.00±22.97	80.00±22.97	.046
MH	66.67±20.66	70.00±20.03	.483	57.50±27.13	65.00±24.15	.304
VT	41.67±24.15	48.75±24.97	.222	42.50±26.48	45.00±28.32	.655
SF	80.95±27.28	87.50±22.21	.222	67.50±28.98	72.50±29.93	.458
<i>RQLQ</i>	1.42±1.13	1.03±0.91	.209	1.60±1.10	1.72±1.43	.575

BP: body pain; CT: computed tomography; GH: general health; HAD: Hospital Anxiety and Depression scale; IgE: immunoglobulin E; MH: mental health; PF: physical function; ER: emotional role; PR: physical role; RQLQ: Rhinoconjunctivitis Quality of Life Questionnaire; SF: social function; SF-12: abbreviated SF-36 quality of life questionnaire; VT: vitality.

patients and CPAP animal models. Again, results varied.^{25,26} Other authors described specific mediators of nasal inflammation.²⁷ It seems likely that the flow of dry air would stimulate nerve endings to release inflammatory cell mediators²⁸ that could stimulate the secretion of neuropeptides,²⁹ recruiting the neutrophils, thus explaining increased nasal mucosa in CPAP patients.

In a mouse model study performed in our hospital, we showed neutrophilic inflammation after acute application of CPAP.³⁰ Lacedonia et al.,²⁶ however, did not observe any increase in neutrophils in the nasal mucosa of patients receiving CPAP, although nearly all their subjects had elevated neutrophil levels before starting the intervention, suggesting previous nasal pathology. Moreover, in a study recently published by Gelardi et al., the continuous use of CPAP was found to improve rhinitis in SAHS patients, reducing the number of inflammatory cells in nasal cytology.³¹

In this study, we have confirmed the presence of nasal inflammation, and found it to be more marked in patients with good adherence. Although our results support the presence of nasal cell inflammation, it seems to be generally subclinical if other variables are taken into account. It would be interesting to evaluate if an adaptive phenomenon occurs over time, with improved inflammatory infiltrate, or if, in contrast, inflammatory infiltrate worsens, leading to exacerbation of symptoms.

Assuming that CPAP causes nasal inflammation, this might be reflected in hypertrophy of the mucosa of the nasal cavity causing a reduction of the nasal air space. In our study, analysis of the nasal cavity air volume by CT did not show any significant differences after CPAP. This finding, previously described by Weerd et al.,³² would suggest that inflammation is mild-moderate. Nasal patency, measured clinically and by rhinomanometry, was not significantly altered in our study, coinciding with Skoczinski et al.,³³ who reported that CPAP does not cause nasal obstruction, nor is nasal obstruction a predictive factor for lack of compliance.

Some series have found that nasal dryness affects more than 60% of CPAP patients. We also found this to be true, but it did not interfere with treatment compliance. Humidifiers are usually used to treat dryness, but while they improve symptoms, they do not always improve tolerance or compliance.^{34,35}

In our series, we observed a trend toward worsening mucociliary clearance in patients with good adherence only. This would suggest that changes in mucociliary clearance are due to a time-dependent phenomenon. Similar studies in the literature show contradictory results,^{36,37} but these did not evaluate the degree of compliance nor mean CPAP pressure.

CPAP obviously provokes an unusual situation of positive pressure within the rhinopharyngeal and oropharyngeal cavities.³⁸ This

Table 4

Clinical, Anatomical, Functional, Biological, Polysomnographic, Therapeutic and Quality of Life Variables Pre-CPAP in Partients with good adherence and Patients with bad adherence.

Pre-CPAP Variables	Partients with good adherence Mean±Standard Deviation (n=20)	Patients with bad adherence Mean±Standard Deviation (n=11)	P
Age (years)	57.40±8.83	54.55±10.49	.549
AHI	44.30±23.12	37.27±22.73	.386
CT 90	37.36±25.26	17.10±16.90	.069
Nadir	67.70±15.94	73.29±8.51	.526
Body mass index	29.17±3.72	29.83±3.02	.726
Neck circumference (cm)	43.40±5.21	44.36±4.05	.575
CPAP pressure	9.15±1.42	8.36±0.92	.148
Hours CPAP	5.99±1.27	2.01±1.35	.000
Epworth	11.90±4.70	16.73±4.83	.014
Nasal obstruction	1.65±1.39	1.09±1.13	.242
Rhinitis symptoms	1.06±1.11	0.55±0.93	.213
Nasal dryness	0.00±0.00	0.00±0.00	1.000
Hearing problems	0.47±0.70	0.36±0.50	.819
Skin and eye problems	0.60±1.19	0.82±1.25	.716
HAD			
Anxiety	7.20±4.14	8.00±3.63	.574
Depression	4.65±4.12	6.09±3.44	.290
CT (cm ³)	73.81±51.47	93.03±58.94	.397
Otoscopy (normal/abnormal)	19/1	10/1	1
Rhinoscopy (normal/abnormal)	4/16	2/9	1
Rhinomanometry (cm ³ /s)	833.12±301.94	834.55±224.46	.888
Saccharin test (min)	30.40±23.71	32.27±23.12	.539
Impedanciometry (normal/abnormal)	19/1	11/0	1
Audiometry (normal/abnormal)	20/0	11/0	1
IgE (U/ml)	64.56±89.77	261.00±648.79	.962
Eosinophilia (%)	2.70±1.53	2.00±1.00	.458
Nasal cytology (number of inflammatory cells/500 epithelial cells)			
Eosinophils	0.50±0.14	0.00±0.00	.184
Neutrophils	0.76±2.04	0.55±0.94	.629
SF-12			
GH	50.71±23.47	31.50±26.56	.059
PF	73.81±25.59	75.00±23.57	.964
PR	72.62±22.57	65.00±24.15	.343
ER	73.61±22.11	67.50±24.43	.444
BP	79.76±20.34	70.00±22.97	.272
MH	66.67±20.66	57.50±27.13	.386
VT	41.67±24.15	42.50±26.48	.947
SF	80.95±27.28	67.50±28.98	.160
RQLQ	1.42±1.13	1.60±1.10	.696

BP: body pain; CT: computed tomography; CT 90: percentage of time recorded with saturation <90%; GH: general health; HAD: Hospital Anxiety and Depression scale; IgE: immunoglobulin E; MH: mental health; PF: physical function; PR: emotional role; RQLQ: Rhinoconjunctivitis Quality of Life Questionnaire; SF: social function; SF-12: abbreviated SF-36 quality of life questionnaire; VT: vitality.

might increase pressure in the middle ear, causing various kinds of symptoms in the ear; some studies even propose CPAP as a possible treatment for ears with mean negative pressures.^{39,40} However, our results are in line with those of Aksoy et al.,⁴¹ who found no significant differences in middle ear pressure. Our auditory assessments, also in line with previous studies,⁴² revealed no significant auditory changes after treatment.

Predictive Factors for Intolerance to Continuous Positive Airway Pressure

The most important finding of our study is the absence of ENT variables as predictive factors for compliance. We only found a higher probability of treatment intolerance in patients with worse general status and greater daytime sleepiness. Thus, the Epworth test result was revealed as the only predictive factor for poor CPAP compliance. This finding is of great interest, since it has also been suggested that patients with greater sleepiness are those who comply best. However, it must be remembered that our patients had no previous nasosinus pathologies, so these results may not be generalizable to other unselected populations. Moreover, while daytime sleepiness was an independent factor for poor

compliance, statistical significance was not very high, so our results should be interpreted with caution. Other factors, whether psychological, previous or caused by the SAHS itself, must exist to explain the poorer tolerance in our group.

Predictive factors for CPAP tolerance in the literature vary,⁴³ as there is no accurate method for establishing the degree of treatment compliance. Results are contradictory for AHI and CPAP pressure levels. It has been suggested that high CPAP pressure may be a significant factor, for which reason Sanders and Kem⁴⁴ and Gulati et al.⁴⁵ propose that bi-pressure levels may be of benefit. In our study, we found no relationship between pressure and compliance, although we must point out that the mean pressure of our series was not very high (7–12 cmH₂O in patients with good adherence and 7–10 cmH₂O in patients with bad adherence).

With regard to rhinitis symptoms before CPAP, Farhad et al.⁴⁶ associated inflammatory markers or neutrophils in nasal mucosa before treatment with CPAP compliance, reporting that patients with more inflammation or clinical symptoms of rhinitis tended to be less compliant. In our study, patients did not have significantly elevated neutrophils in nasal mucosa nor symptoms of rhinitis before starting treatment. Indeed, patients with previous nasal pathology were excluded, so we were only able to determine if

patients who presented elevated neutrophils in nasal mucosa after CPAP, even without symptoms, were poorer patients with good adherence – which was not the case. Nor, like Kreivi et al.,⁴⁷ did we find any relationship between compliance and nasal dryness.

There must be a series of phenomena associated with real or patient-perceived good or bad adaptation that would explain the findings, and other phenomena that complicate CPAP tolerance, ranging from psychological and social considerations to personal beliefs and expectations.^{48–50}

Limitations of our study include, primarily, the small size of our series. Nevertheless, we have used a variety of methods to evaluate different variables for different purposes and studied the parameters at different levels (clinical, anatomical, functional, biological, and polysomnographic), and overall results were consistent. Another limitation of the study is the timepoint (after 2 months of CPAP) at which we made the post-treatment evaluation. Variables such as rhinitis symptoms may subsequently become more severe over time, reflecting increasing neutrophil levels in the nasal mucosa, or else less severe, if neutrophil levels fall due to later adaptation phenomena. The case is the same for daytime sleepiness as a prognostic factor of poor compliance with CPAP treatment; studies with larger numbers of patients or a longer period of CPAP use are needed to consolidate our results.

Conclusions

In conclusion, CPAP treatment can be generally said to improve a series of clinical parameters, but it causes rhinitis and nasal dryness. The various ENT variables analyzed do not differ greatly between partients with good adherence and patients with bad adherence, and none of them predicts the degree of compliance. Initial sleepiness is the only predictive factor for poor compliance.

The importance of this study is that it is the first to question the generalized impression that adverse ENT effects caused by CPAP impact compliance. There is a need for more larger, randomized, translational studies that also include patients with prior nasal pathology, to clarify the relationship between CPAP and nasal pathology in SAHS patients receiving CPAP.

Funding

Grant FISS PI07/0318.

Authorship

Francina Aguilar and Ariel Cisternass: study design. Patient visits, ENT examinations, nasal cytology and saccharin test. Coordination of additional tests in all patients, pre- and post-CPAP. Data collection and database construction. Statistical analysis and drafting the manuscript.

Antonio Manuel Ávila and Juan Berenguer: study and calculation of nasal cavity volumes pre- and post-CPAP in all patients and critical review of the manuscript.

Isabel Vilaseca: study design. Clinical follow-up, statistical analysis and drafting the manuscript.

Alejandro Irazo: patient diagnosis and follow-up and critical review of the manuscript.

Josep Maria Montserrat: patient diagnosis and follow-up and critical review of the manuscript

Marta Torres-López: cytology study and critical review of the manuscript.

Conflict of Interests

The authors state that they have no conflict of interests.

Acknowledgements

We would like to thank Maite Carrión, Ainhoa Asensio and Carmen León, for their help in the conduct of this project.

References

- Young T, Finn P, Peppard P, Szklo-Coxe M, Austin D, Nieto J. Sleep-disordered breathing and mortality: eighteen-year follow up of Wisconsin Sleep Cohort. *Sleep*. 2008;31:1071–8.
- Basner R. Continuous positive airway pressure for obstructive sleep apnea. *N Engl J Med*. 2007;356:1751–8.
- Engleman HM, Wildt MR. Improving CPAP use by patients with sleep apnea/hypopnea syndrome. *Sleep Med Rev*. 2003;7:81–99.
- Sin D, Mayers I, Man G, Pawluk L. Long-term compliance rates to continuous positive airway pressure in obstructive sleep apnea: a population-based study. *Chest*. 2012;12:430–5.
- Hoffstein V, Viner S, Mateika S, Conway J. Treatment of obstructive sleep apnea with nasal continuous positive airway pressure: patient compliance, perception of benefits and side effects. *Am Rev Respir Dis*. 1992;145:841–5.
- Kalam A, Kenyon GS, Seemungal TAR, Wedzicha A. Adverse effects of positive airway pressure in sleep apnea syndrome. *J Laryngol Otol*. 1999;113:888–92.
- Poulet C, Veale D, Arnol N, Lévy P, Pepin JL, Turell J. Psychological variables as predictors of adherence to treatment by continuous positive airway pressure. *Sleep Med*. 2009;10:993–9.
- Crawford MR, Espie CA, Barlett DJ, Grunstein RR. Integrating psychology and medicine in CPAP adherence – new concepts. *Sleep Med Rev*. 2014;18:123–39.
- Weaver TE, Grunstein RR. Adherence to continuous positive airway pressure therapy: the challenge to effective treatment. *Proc Am Thorac Soc*. 2008;5:173–8.
- Johns MW. Daytime sleepiness, snoring and obstructive sleep apnea. The Epworth Sleepiness Scale. *Chest*. 1993;103:30–6.
- Izquierdo-Vicario Y, Ramos-Platon MJ, Conesa-Peraleja D, Lozano-Parra AB, Espinar-Sierra J. Epworth Sleepiness Scale in a simple of the Spanish population. *Sleep*. 1997;20:676–7.
- Zigmond AS, Snaith RP. The hospital anxiety and depression scale. *Acta Psychiatr Scand*. 1983;67:361–70.
- Vilagut G, Ferrer M, Rajmil M, Rebollo P, Permanyer-Miralda G, Quintana JM, et al. El cuestionario de salud SF-36 español: una década de experiencia y nuevos desarrollos. *Gac Sanit*. 2005;19:135–50.
- Stewart MG, Witsell DL, Smith TL, Weaver EM, Yueti B, Hannley MT. Development and validation of the Nasal Obstruction Symptom Evaluation (NOSE) Scale. *Otolaryngol Head and Neck Surg*. 2004;130:157–63.
- Rasp G, Kramer MF, Ostertag P, Kastenbauer E. A new system for the classification of ethmoid polyps. Effect of combined local and systemic steroid therapy. *Laryngorhinootologie*. 2000;79:266–72.
- Juniper EF, Thompson AK, Ferrie PJ, Roberts JN. Validation of a standardised version of the Rhinoconjunctivitis Quality of Life Questionnaire. *J Allergy Clin Immunol*. 1999;104:364–9.
- Fabra Ilopis JM [Tesis doctoral] Rinomanometría anterior activa informatizada: criterios de normalidad y fiabilidad de la prueba. Barcelona: Universidad Autónoma de Barcelona; 1990.
- Andersen IB, Cammer P, Jensen PL, Philipson K, Proctor DF. A comparison of nasal and tracheobronchial clearance. *Arch Environ Health*. 1974;29:290–3.
- Portmann M, Portmann C. Audiometría clínica. 3.ª ed. Barcelona: Toray-Masson; 1979.
- Llobelles P, Durán-Cantolla J, Martínez-García MA, Marín JM, Ferrer A, Corral J, et al. Diagnosis and treatment of sleep apnea-hypopnea syndrome. Spanish Society of Pulmonology and Thoracic Surgery. *Arch Bronconeumol*. 2011;47:143–56.
- Grupo Español de Sueño. Consenso Nacional sobre el síndrome de apneas-hipopneas del sueño. *Arch Bronconeumol*. 2005;41 Suppl. 4:3–110.
- Rechtschaffen A, Kales A, editors. A manual of standardized terminology and scoring system for sleep stages of human subjects. Los Angeles: Brain Information Service/Brain Research Institute, University of California et Los Angeles; 1968.
- Documento de Consenso Nacional sobre el síndrome de apneas-hipopneas del sueño (SAHS) del Grupo Español del Sueño (GES); 2005.
- Ryan S, Doherty LS, Nolan GM, McNicholas WT. Effects of heated humidification and topical steroids on compliance nasal symptoms, and quality of life in patients with obstructive sleep apnea syndrome during nasal continuous positive airway pressure. *J Clin Sleep Med*. 2009;5:422–7.
- Martínez B, Farré R, Montserrat JM, Torres M, Almendros I, Aguilar F, et al. Effects of heated humidification on nasal inflammation in a CPAP rat model. *Sleep Med*. 2010;11:413–6.
- Lacedonia D, Salerno FG, Carpagnano GE, Sabato R, Depalo A, Foschino-Barbaro MP. Effects of CPAP-therapy on bronchial and nasal inflammation in patients affected of obstructive sleep apnea syndrome. *Rhinology*. 2011;49:323–37.
- Rasp G. Eosinophil inflammation of the nasal mucosa. *Laryngorhinootologie*. 2002;81:491–8.
- Tschumperlin DJ, Drazen JM. Chronic effects of mechanical force on airways. *Annu Rev Physiol*. 2006;68:563–83.

29. Sarin S, Undem B, Shanice A, Togias A. The role of the nervous system in rhinitis. *J Allergy Clin Immunol.* 2006;118:999–1014.
30. Almendros I, Acerbi I, Vilaseca I, Montserrat JM, Navajas D, Farré R. Continuous positive airway pressure (CPAP) induces early nasal inflammation. *Sleep.* 2008;31:127–31.
31. Gelardi M, Carbonara G, Maffezzoni E, Marvisi M, Quaranta N, Ferri R. Regular CPAP utilization reduces nasal inflammation assessed by nasal cytology in obstructive sleep apnea syndrome. *Sleep Med.* 2012;13:859–63.
32. De Weerd S, Haentjens P, Van binst AM, Baron I, Clement P, Vicken W. Is there any relationship between nCPAP therapy and signs of sinus hyperpneumatization? *B-ENT.* 2010;6:171–5.
33. Skoczinski S, Ograbek-Król M, Tazbirek M, Semik-Orzech A, Pierzhalak W. Short-term CPAP treatment induces a mild increase in inflammatory cells in patients with sleep apnea syndrome. *Rhinology.* 2008;46:144–50.
34. Koutsourelakis I, Vagiakis E, Perraki E, Karatza M, Magkou C, Kopaka M, et al. Nasal inflammation in sleep apnea patients using CPAP and effect of heated humidification. *Eur Respir J.* 2011;37:587–94.
35. Yu CC, Luo CM, Liu YC, Wu HP. The effects of heated humidifier in continuous positive airway pressure titration. *Sleep Breath.* 2013;17:133–8.
36. Bossi R, Piatti G, Roma E, Ambrosetti U. Effects of long-term nasal continuous positive airway pressure therapy on morphology, function, and mucociliary clearance of nasal epithelium in patients with obstructive sleep apnea syndrome. *Laryngoscope.* 2004;114:1431–4.
37. Saka C, Vuralcan E, Firat IH, Alicura S, Hücumenoglu S, Akin I, et al. The effects of CPAP treatment on nasal mucosa in patients with obstructive sleep apnea. *Eur Arch Otorhinolaryngol.* 2012;269:2065–7.
38. Lin FY, Gurgel RK, Popelka GR, Capasso R. The effect of continuous positive airway pressure on middle ear pressure. *Laryngoscope.* 2012;122:688–90.
39. Tom JJ, Carlson ML, Driscoll CC, St Louis EK, Ramar K, Olson EJ, et al. Middle ear pressure during sleep and the effects of continuous positive airway pressure. *Am J Otolaryngol.* 2015;36:173–7.
40. Sipri B, Sezen OS, Akbulut S, Coskuner T. The effect of continuous positive airway pressure on middle ear pressure. *Laryngoscope.* 2013;123:752–6.
41. Aksoy F, Yıldırım YS, Obturan O, Veyseller B, Cuhadaroglu C. Eustachian tube function in patients receiving continuous positive airway pressure treatment for sleep apnea syndrome. *J Otolaryngol Head Neck Surg.* 2010;39:752–6.
42. Yung MW. The effect of nasal continuous positive airway pressure on normal ears and on ears with atelectasis. *Am J Otol.* 1999;20:568–72.
43. Wohlgemuth WK, Chirinos DA, Domingo S, Wallace DM. Attempters, asdherers, and non-adherers: latent profile analysis of CPAP use with correlates. *Sleep Med.* 2015;16:336–42.
44. Sanders MR, Kern N. Obstructive sleep apnea treated by independently adjusted inspiratory and expiratory positive airway pressures via nasal mask. Physiological and clinical implications. *Chest.* 1990;98:317–24.
45. Gulati A, Oscroft N, Chadwick R, Ali M, Smith I. The impact of changing people with sleep apnea using CPAP less than 4 h per night to a bi-level device. *Respir Med.* 2015;109:778–83.
46. Farhad F, Shadan FF, Jalowayski AA, Fahrenholz J, Kline LE, Dawson A. Nasal cytology: a marker of clinically silent inflammation in patients with sleep apnea and a predictor of non-compliance with nasal CPAP therapy. *J Clin Sleep Med.* 2005;1:266–70.
47. Kreivi HR, Virkkula P, Lehto J, Brander P. Frequency of upper airway symptoms before and during continuous positive airway pressure treatment in patients with obstructive sleep apnea syndrome. *Respiration.* 2010;80:488–94.
48. Olse S, Smith S, Oei T, Douglas J. Health belief model predicts adherence to CPAP before experience with CPAP. *Eur Respir.* 2008;32:710–7.
49. Crawford MR, Espie CA, Bartlett DJ, Grunstein RR. Integrating psychology and medicine in CPAP adherence new concepts? *Sleep Med Rev.* 2014;18:123–39.
50. Wozniak DR, Lasserson TJ, Smith I. Educational, supportive and behavioural interventions to improve usage of continuous positive airway pressure machines in adults with obstructive sleep apnoea. *Cochrane Database Syst Rev.* 2014;1:CD007736, <http://dx.doi.org/10.1002/14651858.CD007736.pub2>.