PERSISTENT BLOOD EOSINOPHILIA AND EOSINOPENIA: RELATIONSHIP WITH OUTCOMES IN BRONCHIECTASIS

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#### **Original Contribution**

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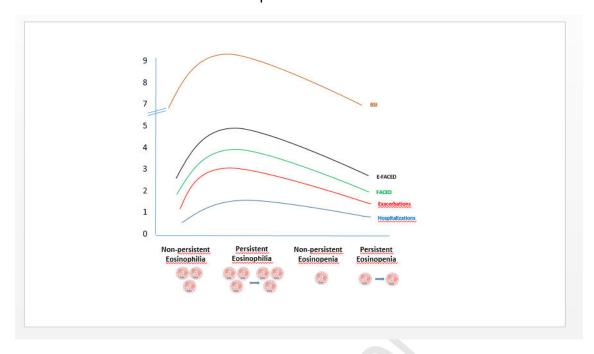
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Brief title: Persistent eosinophils and bronchiectasis

#### **Graphical Abstract**



#### **ABSTRACT** (words 246)

**Introduction**. Blood eosinophil counts (BEC) have been related to the severity of bronchiectasis and its response to inhaled corticosteroids. However, only the baseline BEC has been used to assess this relationship and it is known that BEC could change over time. The objective of this study is analyse the association of persistent eosinophilia or eosinopenia with outcomes in bronchiectasis.

**Methods.** Multicentre, prospective and observational study from 43 centres in Spain derived from the Spanish Bronchiectasis Registry (RIBRON). Asthma and anti-eosinophil treatments were excluded. Patients with at least two yearly BEC measures (including the baseline measure) were included. Persistent eosinophilia (at least 300 cells/ $\mu$ L) or persistent eosinopenia (less than 100 cells/ $\mu$ L) were defined as the persistence in the same eosinophil group after three yearly measures (being the baseline the first measure).

**Results.** 502 patients with at least three BEC measures were included; 24.5% and 16.6% presented baseline eosinophilia or eosinopenia, respectively. Of

these, 57.7% and 56.6% presented persistent eosinophilia and eosinopenia, respectively. Patients with persistent eosinophilia presented greater severity and a higher number/greater severity of exacerbations than those with non-persistent eosinophilia and those with persistent or non-persistent eosinopenia. Finally, patients with non-persistent eosinopenia presented more severity and a higher number/greater severity of exacerbations than those with non-persistent eosinophilia.

**Conclusion.** When only the baseline BEC was taken into account, patients with eosinopenia presented greater severity than those with eosinophilia. However, patients with persistent eosinophilia presented greater severity than those with persistent eosinopenia. Monitoring the BEC seems to be important in bronchiectasis.

**Keywords:** Eosinophils, bronchiectasis, severity, exacerbations.

#### INTRODUCTION

Bronchiectasis is a heterogeneous disease in its clinical presentation, aetiology and prognosis (1-4). The pathophysiological substrate necessary for its formation is the existence of bronchial inflammation, sometimes complemented by a bronchial infection by pathogenic microorganisms that amplifies the inflammation and causes disease progression (2,5), and, in turn, clinical deterioration and exacerbations (3,4). Although in most cases the predominant inflammation in patients with bronchiectasis is neutrophilic (2,6-9), the eosinophil count may also be elevated in respiratory samples from individuals with bronchiectasis (10-14).

Given that counting the relative number of eosinophils from sputum samples or bronchial biopsies can be expensive, time-consuming or invasive, in

recent years blood eosinophil counts (BEC) have been used to assess the severity, prognosis or response to treatment of bronchiectasis (10-14), as in the cases of other diseases such as COPD (15-17), although the correlation between the BEC and bronchial eosinophil numbers seems to be relatively modest (16,17). Thus, a low (less than 50-100 cells/μL) or high (>300 cells/μL) BEC have been related to more severe forms of bronchiectasis, a greater number of exacerbations and even, in patients with peripheral eosinophilia, a better response to inhaled corticosteroid (IC) treatment (even after asthma and other eosinophilic diseases have been ruled out) (10-12). Nevertheless, only baseline BEC is taken into account to assess its correlation with outcomes or when making therapeutic decisions. It is known that BEC can change over time due to a number of intrinsic and extrinsic factors (16) so the situation should be reconsidered after a given time with a new determination of BEC.

In some patients, however, eosinophilia or eosinopenia may persist over time (17), but it is not known whether this persistence is associated with any specific clinical phenotype of patients or with outcomes of interest in bronchiectasis. Therefore, our objective with the present study was to assess the characteristics of patients with bronchiectasis and persistent eosinophilia or eosinopenia, defined as their presence in at least three measures, with one separation of at least one year, taken consecutively from the baseline value as well as to analyse the association of persistent eosinophilia or eosinopenia with important outcomes in bronchiectasis.

#### **METHODS**

Study design

This was a multicentre, prospective and observational study from 43 centres in Spain derived from the Spanish Bronchiectasis Registry (RIBRON) (18,19). Patients were recruited from February 2015 to December 2019. All the patients signed their informed written consent to participate in the registry. Ethical approval was obtained from the Ethics Committee at the Hospital Josep Trueta in Girona (reference number: 001-2012), in the coordinating centre and in the local participating centres.

#### **Patients**

Inclusion criteria were adult patients (at least 18 years old) diagnosed with bronchiectasis by means of high-resolution computerised tomography in conditions of clinical stability (defined as at least 4 weeks free of an exacerbation period) with three BEC measures available on inclusion in the registry (one baseline and two more yearly during at least 2 years). Exclusion criteria included asthma, allergic bronchopulmonary aspergillosis (ABPA) and treatment with systemic corticosteroid or anti-eosinophil biological treatments. Asthma was excluded following the recommendation of international guidelines, mainly based on lack of typical symptoms and negative complementary tests in case of reasonable doubt (negative reversibility test, IgE levels or another complementary test to rule out ABPA) (20). All blood extractions for the measurement of BEC were performed in a stable state (at least four weeks away from a period of exacerbation).

#### Variables and definitions

The following variables were used for the purposes of this study: baseline general and anthropometric data, aetiology, severity scores (FACED (21), E-FACED (22), and Bronchiectasis Severity Index [BSI] (23)), lung function, clinical, analytical and microbiological data and the number and severity of exacerbations prospectively recorded in the year after inclusion in the registry, and their treatments.

An exacerbation was defined (when the registry was created) as a worsening of the typical symptoms of bronchiectasis: cough, dyspnoea, haemoptisis, increase in the volume or purulence of the sputum, chest pain and sibilance with an evolution of more than 24 hours requiring antibiotic treatment. An exacerbation was considered mild-moderate when the patient needed oral antibiotics, and severe in cases of hospital admission or when intravenous antibiotic treatment was required (24). Exacerbating patients were defined as those with at least three exacerbations per year or two mild-to-moderate exacerbations plus at least one hospitalization (25,26). Exacerbations occurring in the first year of follow-up were considered for analysis.

For the purposes of this study, we defined eosinophilic bronchiectasis as a BEC of at least 300 eosinophils/ $\mu$ L and eosinopenic bronchiectasis as a BEC of less than 100 eosinophilis/ $\mu$ L. There is no established definition of persistent eosinophilia or eosinopenia, but in the present study we used the one presented by Casanova et al for patients with COPD, whereby persistent eosinophilia is defined as the presence of at least 300 eosinophils/ $\mu$ L in three consecutive BEC measures, the first being the baseline, with a separation of at least one year between two of the measures (17). Similarly, persistent eosinopenia was defined as the presence of less than 100 eosinophils/ $\mu$ L in three consecutive BEC measures, the first being the baseline, with a separation of at least one year between two of the measures.

#### Statistical analysis

The data were tabulated using the mean (standard deviation [SD]) or median (interquartile range) for quantitative data, depending on the distribution of the variables. The normality of the distribution was analysed using the Kolmogorov-Smirnov test and the variance homogeneity by the Levene´s test

The qualitative data were tabulated according to the percentage with respect to the total value. Four comparisons were made: 1. Within the patients with baseline eosinophilia, the group of patients with persistent eosinophilia versus those without it; 2. Within the patients with baseline eosinopenia, the group with persistent eosinopenia versus those without it; and, 3. The group with persistent eosinophilia versus the group with persistent eosinopenia. In all cases, a T-student, chi-square or U-Mann Whitney test was used, depending on the distribution of the variables, 4. Multiple relationship between the four groups (persistent eosinophilia, non-persistent eosinophilia, persistent eosinopenia and non-persistent eosinopenia was made using one-way ANOVA test with Bonferroni's correction. A p value of less than 0.05 were considered as significant. The statistical packages SPSS Inc. 20 and R software were used

#### RESULTS

Of the 2642 patients with non-cystic fibrosis bronchiectasis and an age of at least 18 years, 582 individuals had complete baseline data and at least two additional valid yearly measures of BEC. Of these, 69 patients with asthma (6 with ABPA and 6 taking anti-eosinophil biological treatment) were excluded, along with 11 patients taking systemic corticosteroids. Therefore, 502 subjects were finally included in the analysis (figure 1).

Of these 502 included patients, 123 (24.5%) presented baseline eosinophilia (414.2 [117.5] cells/ $\mu$ L) and 83 (16.6%) eosinopenia (49.3 [22.1] cells/ $\mu$ L). Of those patients with baseline eosinophilia, 71 (57.7%) presented persistent eosinophilia, whereas, of those patients with baseline eosinopenia, 47 (56.6%) presented persistent eosinopenia. **Table 1** shows the BEC values according to the presence of eosinophilia or eosinopenia and their persistence.

Patients with persistent eosinophilia presented more dyspnoea, comorbidities, bronchial infection by *Pseudomonas aeruginosa* (*PA*), a greater number and severity of exacerbations, higher use of ICs and greater global disease severity compared with those without persistent eosinophilia (**Table 2**). However, there were no differences between patients with persistent and non-persistent eosinopenia (**Table 3**). Moreover, those patients with persistent eosinophilia also presented greater disease severity, more PA bronchial infections and a greater number/severity of exacerbations than those with persistent eosinopenia (**Table 4**). However, as can be seen in **Figures 2A**, **2B** and **2C**, although the highest mean value in all three multidimensional severity scores (FACED, E-FACED and BSI) was seen in the persistent eosinophilic group, both the eosinopenic groups (persistent and non-persistent) had greater severity values than the group with non-persistent eosinophilia, with very similar results regarding exacerbation (**Figure 3A**) and hospitalisations (**Figure 3B**)

#### DISCUSSION

According to our results, 57.7% and 56.6% of the patients with bronchiectasis who presented eosinophilia and eosinopenia at baseline, respectively, persisted in the same group after three measures in a space of two years. Although no significant differences were found in terms of clinical

characteristics, exacerbations or severity of patients based on persistence of eosinopenia, those patients with persistent eosinophilia presented greater severity and a higher number of exacerbations than those with non-persistent eosinophilia. Moreover, although patients with non-persistent eosinopenia presented greater severity than those with non-persistent eosinophilia at baseline, those with persistent eosinophilia presented greater severity than those with persistent eosinopenia.

Recent studies have shown that both high (at least 300 eosinophils/µL) and low (less than 50-100 eosinophils/µL) basal values of BEC were associated with a more pronounced clinical picture, greater severity of bronchiectasis (according to the multidimensional scales used), a higher number of exacerbations and a poor prognosis (10-12). We have used in our study the same cutoff points of eosinophils in order to make the studies comparable. However, these observations were made by assessing only the baseline value of BEG, without taking into account that these values may vary over time as a result of various circumstances (16,17). In fact, a recent study showed how the degree of correlations between the baseline BEG values and subsequent measures did not remain robust after six months, forcing us to reassess, since this variation could imply changes in the classification of the severity of the disease and even have therapeutic implications (27). Therefore, it is not known whether the persistence of elevated or decreased eosinophil counts in bronchiectasis patients may have different associations with significant disease outcomes beyond any elevated or decreased of baseline BEC measurement. This analysis has, however, been carried out in patients with COPD. Casanova et al observed in patients with COPD from two large series (424 patients from the CHAIN series and 308 patients from the BODE series) that the persistence of eosinophilia defined as at least 300 cells/µL in at least three separate determinations within a period of two years was not related to the number of exacerbations, although it was related to a higher mortality (15.8% vs 33.7%; p=0.026) than that of the baseline measure (17). In contrast, there was no analysis of patients with eosinopenia (also important, since in COPD it has been observed that a decreased BEC is associated with a lack of response to ICs and more adverse effects (28) from this

treatment, while in bronchiectasis it has been associated with a greater severity and also lack of response to ICs) (10,12).

Our group recently reported a U-shaped relationship between the baseline BEC and the severity and number of exacerbations in bronchiectasis. In fact, both eosinophilic (at least 300 cells/ $\mu$ L) and, more clearly, eosinopenic (less than 50 cells/ $\mu$ L) groups were associated with more severe presentation of bronchiectasis (10).

The present study shows some novel results: 1. Persistent eosinophilic bronchiectasis patients had the greatest severity of bronchiectasis compared with non-persistent eosinophilia and even with eosinopenia (both persistent and non-persistent); 2. The severity in the eosinopenic group did not increase over time; and 3. In spite of the lack of the change in the severity of the eosinopenic group, this group presented more severity than the non-persistent eosinophilic group (but not more severity than the eosinopenic group).

Therefore, according to our results, it would be important to monitor the BEC of those bronchiectasis patients with baseline eosinophilia in order to detect those moving towards persistent eosinophilia, since this group of patients will present a more severe form of bronchiectasis. However, after two years the severity of bronchiectasis patients with baseline eosinophilia remains constant. In other words, those patients with persistent eosinophilia and baseline eosinopenia are the patient with more severe forms of bronchiectasis and a greater number and severity of exacerbations.

One of the prominent aspects of the present study that could be most controversial is the exclusion of patients with asthma (with a higher probability of persistent eosinophilia) and those with systemic corticosteroids or biological treatments with a higher probability of persistent eosinopenia. Our objective was to assess whether bronchiectasis *per se* could present a persistent eosinophilic or eosinopenic endotype associated with a particular phenotype that was not influenced by other diseases. Patients being treated with ICs were not excluded as these have not been shown to influence peripheral eosinophil levels.

Among the strengths of this study is that it is the first to be carried out on the value of the persistence of eosinophilia and the persistence of eosinopenia in

patients with bronchiectasis and that it has been carried out using the RIBRON Registry, which brings together more than 40 centres throughout Spain (18,19). Among the limitations is the lack of an external validation of the results, since eosinophil values can be influenced by various geographical factors. Furthermore, not all our patients presented repeated eosinophil measurements, so we cannot rule out the existence of some selection bias and a subsequent reduction in statistical power. Finally, we do not have information on some important outcomes, such as mortality, so it is important for future studies to address this issue by trying to consolidate "persistent eosinophilia" as a special endotype related to a characteristic phenotype. Similarly, it is important to assess whether this condition could be a "treatable trait" the role of inhaled corticosteroids (29-31), biologic treatment (32) and the impact on chronic bronchial infection and its treatment in bronchiectasis (5)

In conclusion, taking into account only the baseline BEC, patients with eosinopenia showed more severe bronchiectasis and a greater number/severity of exacerbations than those with eosinophilia, although patients with persistent eosinophilia showed more severity and a greater number/severity of exacerbations than those with persistent eosinopenia. Therefore, it seems necessary to monitor the values of peripheral eosinophilis in steady-state bronchiectasis, especially in those patients with baseline eosinophilia.

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**Contributions:** Study Design: MAMG Data acquisition: MAMG,CO,AB, RG,MGC,LM,OS,RG,RM,JRL,EB,CP,JLR,GO and DR; data analysis: GL and MAMG; Data interpretation and writing of the manuscript: All authors. All authors critically reviewed the manuscript and approved its final submitted version.

**Competing interests**: See uploaded Col of each author

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	Baseline measure	2nd measure	3rd measure
Persistent eosinophilia	418.5 (125)	439 (128)	463.8 (136)
No persistent eosinophilia	401.1 (97)	236.9 (126)	210.1 (86)
Persistent eosinopenia	48.8 (21)	42.8 (18.3)	44.6 (17.5)
No persistent eosinopenia	51.2 (22.3)	138.9 (78)	143.2 (88)

 $\begin{table table 1.5cm} \textbf{Table 1.} Absolute numbers (eosinophils/$\mu$L) in peripheral blood samples in three consecutive measures in the persistent and non-persistent eosinophilic/eosinopenic groups. \end{table}$ 

**Table 2**. Comparison between the groups with and without persistent eosinophilia.

Variable	Persistent eosinophilia 71 (57.7%)	Non-persistent eosinophilia 52 (42.3%)	Р
Age, yrs	72.9 (14.6)	72.3 (13.7)	0.798
Gender (% males)	44%	37%	0.431
BMI, Kg/m <sup>2</sup>	28.3 (4.5)	26.8 (4.1)	0.061
COPD, %	12.7%	7.7%	0.379
Smoking; pack.years	30.6 (21.2)	23.3 (23.1)	0.254
Aetiology, % - Post-infectious - Idiopathic	32.3% 14.1%	44.2% 17.3%	0.183 0.629
Dyspnoea, mMRC	1.95 (1)	1.53 (0.9)	0.031
Pulmonary lobes affected	2.89 (1.5)	2.98 (1.4)	0.731
Charlson Index	2 (2.2)	1.6 (1.3)	0.023
Previous pneumonia	1.06 (1.3)	0.76 (1.9)	0.073
WBC, cells/μL	8,340 (2,270)	7,433 (2,460)	0.061
Neutrophils, %	57.6%	55.9%	0.493
CRP, IU/mL	4.6 (7.2)	2.9 (6.9)	0.042
FEV1, %	72.1 (26.4)	70.2 (26.1)	0.699
6MWT, m	406 (95)	425 (144)	0.734
PA infection, %	40%	21%	0.038
FACED	2.8 (1.7)	1.7 (1.6)	0.001
E-FACED	3.5 (1.9)*	2.3 (1.9)	0.004
BSI	8.4 (4.1)*	7.2 (4.3)	0.003
Exacerbations*	2.5 (1.7)	1.3 (1.8)	0.014
Hospitalisations*	1.2 (2.5)	0.7 (1.1)	0.025
Exacerbating patients, %*	59%	42%	0.032
IC treatment, %	69%	52%	0.027
Inhaled antibiotics, %	21%	19%	0.546
Macrolides, %	24%	21%	0.687

<sup>\*</sup>First year from inclusion

BMI: Body Mass Index; COPD: Chronic Obstructive Pulmonary Disease; WBC; White Blood Count; CRP. C-Reactive Protein; *PA: Pseudomonas aeruginosa*, IC: Inhaled corticosteroids; 6MWT: 6 minute walk test; mMRC: Modified Medical Research Council.

**Table 3**. Comparison between the groups with and without persistent eosinopenia.

Variable	Persistent eosinopenia	Non-persistent eosinopenia	Р
	47 (56.6%)	36 (43.3%)	0.000
Age, yrs	68.3 (15.8)	67.4 (16.1)	0.608
Gender (% males)	36%	22%	0.174
BMI, Kg/m <sup>2</sup>	25.6 (3.9)	26.6 (5.1)	0.307
COPD, %	12.7%	8.3	0.526
Smoking;	28.6 (22.2)	33 (31.6)	0.636
pack.years			
Etiology, %			
-Post-infectious	50.3%	38.3%	0.669
-Idiopathic	13.5%	23.2%	0.256
Dyspnoea, mMRC	1.7 (0.9)	1.4 (0.7)	0.121
Pulmonary lobes affected	2.51 (1.4)	2.69 (1.5)	0.568
Charlson Index	1.66 (1.3)	1.72 (1.3)	0.832
Previous	1.20 (2.6)	0.99 (1.7)	0.422
pneumonia			
WBC, cells/μL	7,194 (2,960)	6,470 (2,070)	0.156
Neutrophils, %	62.3%	58.4%	0.256
CRP, IU/mL	2.7 (4.4)	2.7 (6.6)	0.968
FEV1, %	78.3 (21.8)	79.6 (26.2)	0.828
6MWT, m	465 (83)	410 (114)	0.212
PA infection, %	25%	29%	0.222
FACED	2.1 (1.7)	2.1 (1.6)	0.834
E-FACED	2.7 (2.1)	2.7 (2.2)	0.895
BSI	7.6 (3.9)	7.8 (4.2)	0.523
Exacerbations*	2.1 (1.7)	2.2 (1.7)	0.706
Hospitalisations*	0.9 (1.2)	0.9 (1.4)	0.845
Exacerbating patients, %*	57.4%	53.2%	0.376
IC treatment, %	54%	51%	0.529
Inhaled antibiotics, %	17%	15%	0.774
Macrolides, %	19%	21&	0.675

<sup>\*</sup>First year from inclusion

BMI: Body Mass Index; COPD: Chronic Obstructive Pulmonary Disease; WBC; White Blood Count; CRP. C-Reactive Protein; *PA: Pseudomonas aeruginosa*, IC: Inhaled corticosteroids; 6MWT: 6 minute walk test; mMRC: Modified Medical Research Council.

**Table 4.** Comparison between the groups with persistent eosinophilia and persistent eosinopenia.

Variable	Persistent	Persistent	Р
	eosinophilia 71 (57.7%)	eosinopenia 47 (56.6%)	
Age, yrs	72.9 (14.6)	68.3 (15.8)	0.104
Gender (% males)	44%	36%	0.442
BMI, Kg/m <sup>2</sup>	28.3 (4.5)	25.6 (3.9)	0.001
COPD, %	12.7%	12.7%	0.989
Smoking;	30.6 (21.2)	28.6 (22.2)	0.726
pack.years	,		
Etiology, %			
-Post-infectious	32.3%	50.3%	0.183
-Idiopathic	14.1%	13.5%	0.629
Dyspnoea, mMRC	2 (1)	1.7 (0.9)	0.031
Pulmonary lobes affected	2.89 (1.5)	2.51 (1.4)	0.183
Charlson Index	2 (2.2)	1.66 (1.3)	0.353
Previous	1.06 (1.3)	1.20 (2.6)	0.149
pneumonia	, ,		
WBC, cells/μL	8,340 (2,270)	7,194 (2,960)	0.173
Neutrophils, %	57.6%	62.3%	0.102
CRP, IU/mL	4.6 (7.2)	2.7 (4.4)	0.377
FEV1, %	72.1 (26.4)	78.3 (21.8)	0.229
6MWT, m	406 (95)	465 (83)	0.131
PA infection %	40%	25%	0.038
FACED	2.8 (1.7)	2.1 (1.7)	0.012
E-FACED	3.5 (1.9)*	2.7 (2.1)	0.024
BSI	8.4 (4.1)*	7.6 (3.9)	0.032
Exacerbations*	2.5 (1.7)	2.1 (1.7)	0.038
Hospitalisations*	1.2 (2.1)	0.9 (1.2)	0.049
Exacerbating patients, %*	59%	57.4%	0.121
IC treatment, %	69%	54%	0.027
Inhaled antibiotics, %	21%	17%	0.546
Macrolides, %	24%	19%	0.687

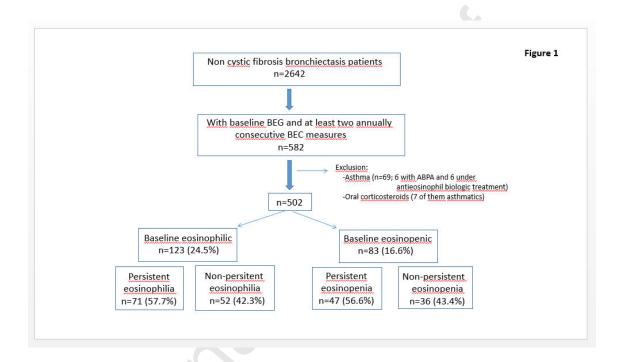
<sup>\*</sup>First year from inclusion

BMI: Body Mass Index; COPD: Chronic Obstructive Pulmonary Disease; WBC; White Blood Count; CRP. C-Reactive Protein; *PA: Pseudomonas aeruginosa*, IC: Inhaled corticosteroids; 6MWT: 6 minute walk test; mMRC: Modified Medical Research Council.

#### FIGURE LEGENDS

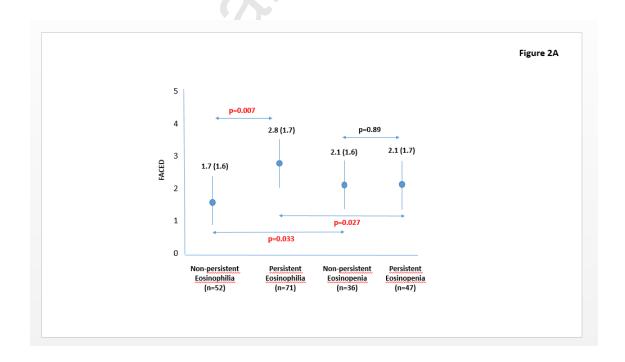
Figure 1. Flow-chart of the study

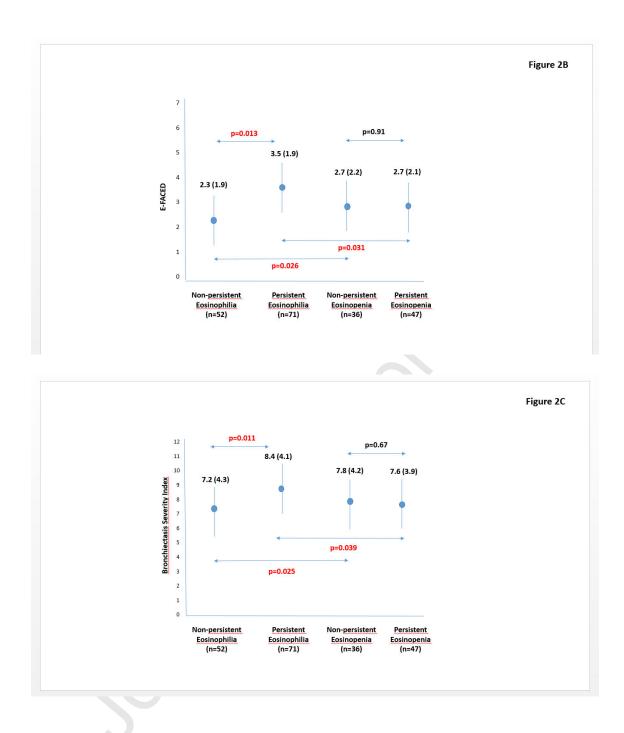
BEC: Blood eosinophils counts; ABPA: Allergic bronchopulmonary aspergillosis



**Figure 2.** Comparison between the FACED value (Figure 2A), E-FACED value (Figure 2B) and BSI (Figure 2C) in the four studied groups.

Data expressed as media and standard deviation





**Figure 3**. Comparison between the number of exacerbations (Figure 3A) and hospitalisations (Figure 3B) in the four studied groups.

#### Data expressed as media and standard deviation

