



Original Article

## Sex-Differences in Alpha-1 Antitrypsin Deficiency: Data From the EARCO Registry

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### ABSTRACT

**Background:** Sex and gender influence many aspects of chronic obstructive pulmonary disease (COPD). Limited data are available on this topic in alpha-1 antitrypsin deficiency (AATD). We therefore aimed to investigate sex issues in the EARCO registry, a prospective, international, observational cohort study.

**Methods:** Baseline data from PiZZ individuals, enrolled in the registry with complete data on sex and smoking history were analysed by group comparisons and binary logistic regression analyses.

**Results:** 1283 patients with AATD, 49.3% women were analysed. Females reported less tobacco consumption ( $16.8 \pm 12.2$  vs.  $19.6 \pm 14.5$  PY,  $p = 0.006$ ), occupational exposures towards gases, dusts or asbestos ( $p < 0.005$  each) and consumed less alcohol ( $5.5 \pm 7.6$  vs.  $8.4 \pm 10.3$  u/week,  $p < 0.001$ ). Females reported COPD (41% vs. 57%,  $p < 0.001$ ) and liver disease (11% vs. 20%,  $p < 0.001$ ) less often. However, they had a higher prevalence of bronchiectasis (24% vs. 13%,  $p < 0.001$ ). Despite better lung function (FEV<sub>1</sub>%pred.  $73.6 \pm 29.9$  vs.  $62.7 \pm 29.5$ ,  $p < 0.001$ ) females reported a similar symptom burden (CAT  $13.4 \pm 9.5$  vs.

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$12.5 \pm 8.9$ ,  $p = \text{ns}$ ) and exacerbation frequency (at least one in the previous year 30% vs. 26%,  $p = \text{ns}$ ) compared to males. In multivariate analyses, female sex was an independent risk factor for exacerbations in the previous year OR 1.6  $p = 0.001$  in addition to smoking history, COPD, asthma and bronchiectasis and was also identified as risk factors for symptom burden (CAT  $\geq 10$ ) OR 1.4  $p = 0.014$  besides age, BMI, COPD and smoking history.

**Conclusion:** Men had higher rates of COPD and liver disease, women were more likely to have bronchiectasis. Women's higher symptom burden and exacerbation frequency suggest they may need tailored treatment approaches.

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## Introduction

Gender plays a role in many aspects of chronic obstructive pulmonary disease (COPD).<sup>1,2</sup> Sex and gender in COPD reflect a complex interplay between genuine biological differences and sociocultural influences.<sup>2</sup> In addition to possible biological aspects such as airway diameter or the complex effects of sex hormones on respiratory disease,<sup>3</sup> socio-cultural factors also play an important role. Alpha-1-antitrypsin deficiency (AATD) is a genetic condition that predisposes to the early development of COPD<sup>4</sup> and liver disease.<sup>5</sup> Many of the issues related to sex and gender in COPD are plausibly important in AATD. For example, occupational exposures and certain risk behaviours, such as tobacco and alcohol consumption, for which gender differences still exist, have a significant impact on the development of AATD, lung and liver disease.<sup>6,7</sup> The pattern of comorbidities differs significantly between men and women, but at the same time comorbidities play an important role in COPD and influence many of the symptoms that make up the individual burden of our patients.<sup>8</sup> They also have a significant impact on the prognosis of the disease, and regardless of their prevalence, certain comorbidities are associated with different prognoses in men and women.<sup>9,10</sup> To address the complexity of the relationship between gender and a genetic predisposition such as AATD, it is also important to recognise that men and women perceive disease differently, express symptoms differently and use health services in a different way.<sup>11</sup> Due to the small number of cases in different countries and centres, it is especially difficult to study gender-specific aspects of rare diseases, and it is not surprising that there are no meaningful data on this topic in AATD.<sup>12</sup> The prospective international registry of the European Alpha-1 Research Collaboration (EARCO) for patients with AATD offers the opportunity for the first time to investigate such questions in a large international, well-characterised cohort. The aim of the current study was to investigate sex-specific aspects of AATD in relation to lung and liver disease, symptom burden and exacerbations in the registry.

## Methods

### Study population

Baseline data of patients from the prospective international registry for patients with AATD, EARCO were analysed. EARCO is a clinical research collaboration of the European Respiratory Society (ERS) with the aim of answering fundamental questions about the epidemiology, genetics, pathophysiology, clinical treatment and prognosis of AATD-associated lung diseases.<sup>13</sup> The registry was originally designed as a European observational study, but has now grown beyond European borders to become a global registry.<sup>14</sup> Individuals diagnosed with severe AATD, regardless of clinical features or severity, are eligible to participate in this observational study. The inclusion criteria are: (1) individuals diagnosed with AATD; (2) deficiency defined as AAT serum levels  $< 11 \mu\text{M}$  (50 mg/dL) and/or proteinase inhibitor genotypes PI\*ZZ, PI\*SZ and

heterozygous or homozygous combinations of other rare functionally deficient variants. Data on biological sex is completed by the enrolling healthcare professional; self-identified gender and differences from sex assigned at birth are not yet routinely collected. In the present analysis, only PI\*ZZ individuals confirmed either by phenotyping or genotyping with complete information on gender and smoking status were included. The study protocol received central ethical approval from the Research Ethics Committee of the Vall d'Hebron University Hospital, Barcelona, Spain (PR(AG)480/2018) and was subsequently approved by all participating centres. Written informed consent was obtained from all participants. The EARCO registry protocol has been registered at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) (ID: NCT04180319).

### Assessments

During the annual visits of the EARCO study, a detailed recording of all AATD-specific characteristics, demographics, comorbidities, medication, generic and disease-specific quality of life, and psychological disorders was performed.<sup>12</sup> Pulmonary function was assessed according to current guidelines.<sup>15,16</sup> In addition to forced expiratory volume in 1 second (FEV<sub>1</sub>), forced vital capacity (FVC) and its ratio FEV<sub>1</sub>/FVC, and total lung capacity (TLC) and residual volume (RV) were measured. For diffusion capacity, the transfer factor DLCO was used. In the current study, post-bronchodilator values were preferably used; in cases where these were not available, the values routinely recorded in the centres were used. The 6-minute walk test, which also complied with international recommendations, was carried out to assess exercise capacity.<sup>17</sup> Standardised questionnaires on quality of life and symptoms, which were validated in several languages, were administered; the current analysis takes into account data from the disease-specific COPD Assessment Test (CAT),<sup>18</sup> of the Modified Medical Research Council (mMRC)<sup>19</sup> dyspnoea scale and the EuroQoL 5-dimension (EQ-5D) generic quality-of-life questionnaire.<sup>20</sup>

### Statistical analyses

Mean values, standard deviations (SD), absolute numbers and percentages (%) were used to describe the data. For descriptive analyses group comparisons were made using Student's *t*-test or Mann-Whitney-U-test or Chi-squared test, as appropriate. Binary logistic regression analyses were performed to examine independent associations of symptoms and exacerbations with gender and AAT lung disease. Statistical analyses were performed using SPSS software (Version 21.0.0.0, Armonk, NY, US), and *p*-values of  $\leq 0.05$  were considered statistically significant.

## Results

### Patient characteristics

The current study examined 1283 P\*ZZ individuals with complete information on sex and smoking behaviour who were enrolled

**Table 1**

Demographics and exposure stratified for sex.

Variable	N	Total N=1283	Male N=650	Female N=633	p
<b>Age at enrollement</b>	1275	55.5 ± 13.9	54.3 ± 14.2	56.7 ± 13.6	<b>0.002</b>
<b>Age at diagnosis (AATD)</b>	1207	45.9 ± 15.8	45.2 ± 15.9	46.6 ± 15.7	0.129
<b>BMI</b>	1251	25.8 ± 4.9	26.1 ± 4.5	25.5 ± 5.3	<b>&lt;0.001</b>
<b>Highest education level</b>					0.184
Primary	1032	133 (12.9%)	63 (12.1%)	70 (13.7%)	0.456
Secondary	1032	492 (47.7%)	263 (50.6%)	229 (44.7%)	0.060
University degree	1032	366 (35.5%)	171 (32.9%)	195 (38.1%)	0.081
Master	1032	41 (4.0%)	23 (4.4%)	18 (3.5%)	0.456
<b>Working status</b>					0.164
Active	1281	669 (52.2%)	353 (54.4%)	316 (50.0%)	0.116
Retired	1281	478 (37.3%)	235 (36.2%)	243 (38.4%)	0.407
Unemployed	1281	75 (5.9%)	30 (4.6%)	45 (7.1%)	0.057
Other	1281	59 (4.6%)	31 (4.8%)	28 (4.4%)	0.768
<b>Diagnosis cause</b>					
Index case	1283	1000 (77.9%)	507 (78.0%)	493 (77.9%)	0.960
Lung index	1283	762 (59.4%)	387 (59.5%)	375 (59.2%)	0.914
Liver index	1283	80 (6.2%)	53 (8.2%)	27 (4.3%)	<b>0.004</b>
Family screening	1283	202 (15.7%)	88 (13.5%)	114 (18.0%)	<b>0.028</b>
<b>Exposure</b>					
Packyears	672	18.3 ± 13.6	19.6 ± 14.5	16.8 ± 12.2	<b>0.006</b>
Smoking history <sup>a</sup> (%)	1283	42.6/57.4	38.3/61.7	47.1/52.9	<b>0.001</b>
Smoking status <sup>b</sup> (%)	1283	97/3	96.6/3.4	97.5/2.5	0.365
<b>Other inhaled exposure</b>					
Biomass	1283	21 (1.6%)	10 (1.5%)	11 (1.7%)	0.779
Cocaine	1283	7 (0.5%)	6 (0.9%)	1 (0.2%)	0.063
E-cigarette	1283	6 (0.5%)	3 (0.5%)	3 (0.5%)	0.974
Marijuana	1283	23 (1.8%)	16 (2.5%)	7 (1.1%)	0.067
Others	1283	26 (2.0%)	16 (2.5%)	10 (1.6%)	0.262
<b>Occupational exposures<sup>c</sup></b>					
Any (%)	1283	7.5/72.3/20.3	7.8/63.5/28.6	7.1/81.2/11.7	<b>&lt;0.001</b>
Gases	1283	41 (3.2%)	33 (5.1%)	8 (1.3%)	<b>&lt;0.001</b>
Fumes	1283	74 (5.8%)	48 (7.4%)	26 (4.1%)	<b>0.012</b>
Dust	1283	109 (8.5%)	83 (12.8%)	26 (4.1%)	<b>&lt;0.001</b>
Asbestos	1283	14 (1.1%)	13 (2.0%)	1 (0.2%)	<b>0.001</b>
Others	1283	56 (4.4%)	39 (6.0%)	17 (2.7%)	<b>0.004</b>
<b>Alcohol consumption</b>					
Alcoholintake <sup>c</sup> (%)	1283	10.9/54.6/34.5	10.3/50.5/39.2	11.5/59.0/29.5	<b>0.001</b>
Units (units/week)	432	7.1 ± 9.3	8.4 ± 10.3	5.5 ± 7.6	<b>&lt;0.001</b>

Abbreviations: BMI: body mass index; AAT: alpha 1 antitrypsin.

<sup>a</sup> Never smoker/ex or current smoker.<sup>b</sup> Non-smoker active smoker.<sup>c</sup> Unknown/no/yes.

in the register between 05/02/2020 and 17/11/2023 (see flowchart in [Supplementary Fig. S1](#)). The sex ratio in the study population was relatively balanced, with 633 women and 650 men. Women were slightly older than men at enrolment, but not at AATD diagnosis, and had a lower BMI. Women were also more likely to have been diagnosed with the disease as part of a family screening and less likely to have been presented with liver disease. There were no differences between men and women in terms of employment (employed, retired, unemployed or other).

Compared to the male study participants, females were more likely to be never smokers (47.1% versus 38.3%,  $p < 0.001$ ) or reported a lower number of pack-years ( $16.8 \pm 12.2$  versus  $19.6 \pm 14.5$ ,  $p = 0.006$ ). Exposure to other inhalable substances such as biomass, cocaine, e-cigarettes, marijuana or others was rare and did not show significant differences between the sexes. When occupational exposure was considered, there were significant differences between the sexes: men were significantly more likely to be exposed to gases (5.1 vs. 1.3%,  $p < 0.001$ ), fumes (7.4% vs. 4.1%,  $p = 0.012$ ), dust (12.8 vs. 4.1%,  $p < 0.001$ ), asbestos (2.0 vs. 0.2%,  $p = 0.001$ ) or other (6.0 vs. 2.7%,  $p = 0.004$ ) than women. There were

also differences in alcohol consumption: women were less likely to report active alcohol consumption (29.5% versus 39.2%,  $p < 0.001$ ), and the number of alcohol units per week was lower ( $5.5 \pm 7.6$  versus  $8.4 \pm 10.3$ ,  $p < 0.001$ ) ([Table 1](#)).

In terms of pulmonary AATD manifestations, women were less likely to have COPD (40.9% vs. 56.8%,  $p < 0.001$ ), emphysema (51.0% vs. 61.5%,  $p < 0.001$ ) or chronic bronchitis (2.5% vs. 6.0%,  $p = 0.002$ ), while they had a higher prevalence of bronchiectasis (23.5% vs. 13.4%,  $p < 0.001$ ). Corresponding to these medical diagnoses, the men showed a higher degree of airflow obstruction and a greater impairment of diffusion capacity in pulmonary function tests. In absolute values, the 6-min walking distance of women was shorter than that of men ( $422.9 \pm 134.4$  vs.  $466.6 \pm 133.8$ ,  $p < 0.001$ ) although in relation to the corresponding reference values, men had a shorter walking distance ( $62.5 \pm 17.9\%$ pred. vs.  $67.1 \pm 20.8$ ,  $p = 0.018$ ). In terms of liver involvement, male participants were more likely to have liver disease (20.2% vs. 10.6%,  $p < 0.001$ ), liver cirrhosis (4.3% vs. 1.3%,  $p = 0.001$ ), liver fibrosis (7.1% vs. 3.0%,  $p = 0.001$ ) and fatty liver (7.7% vs. 4.1%,  $p = 0.007$ ). Patients characteristics are listed in [Table 2](#) and [Fig. 1](#).

**Table 2**

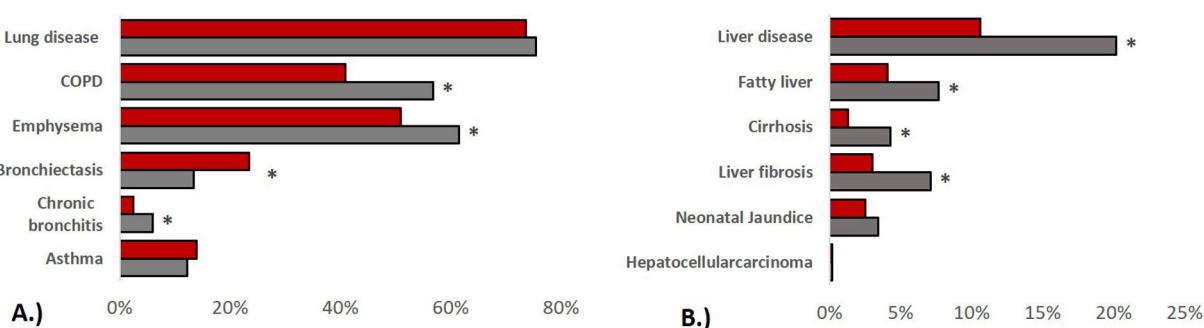
Functional diagnostics, symptoms and disease-specific and medical contacts stratified for sex.

Variable	N	Total N= 1283	Male N= 650	Female N= 633	p
<b>Pulmonary function</b>					
FVC (litre)	1182	3.7 ± 1.3	4.4 ± 1.3	3.0 ± 0.9	<0.001
FVC (%predicted)	1134	92.2 ± 23.2	91.7 ± 23.7	92.6 ± 22.6	0.511
FEV <sub>1</sub> (litre)	1181	2.2 ± 1.2	2.4 ± 1.3	1.9 ± 1.0	<0.001
FEV <sub>1</sub> (%predicted)	1135	68.1 ± 30.1	62.7 ± 29.5	73.6 ± 29.9	<0.001
FEV <sub>1</sub> /FVC	1181	0.6 ± 0.2	0.5 ± 0.2	0.6 ± 0.2	<0.001
DLCO(mmol/min kPa)	862	6.4 ± 3.8	7.0 ± 4.1	5.7 ± 3.4	<0.001
DLCO (%predicted)	970	63.2 ± 25.1	61.7 ± 25.6	64.8 ± 24.4	0.057
KCO (mmol/min kPa)	826	1.3 ± 1.3	1.2 ± 1.2	1.3 ± 1.4	0.278
KCO (%pred.)	925	67.7 ± 23.0	66.8 ± 23.7	68.8 ± 22.1	0.186
RV (litre)	636	3.2 ± 1.4	3.6 ± 1.5	2.8 ± 1.2	<0.001
RV %	594	156.8 ± 69.0	160.6 ± 68.1	152.7 ± 69.8	0.164
TLC (litre)	639	7.2 ± 1.8	8.3 ± 1.5	6.0 ± 1.1	<0.001
TLC%	595	116.4 ± 20.2	116.0 ± 19.9	116.9 ± 20.6	0.599
<b>Elastography</b>					
Liver stiffness (kPa)	488	6.6 ± 4.1	7.2 ± 3.8	5.9 ± 4.4	0.001
<b>Six-minute walk test</b>					
6-MWT (m)	494	446.3 ± 135.7	466.6 ± 133.8	422.9 ± 134.4	<0.001
6-MWT (%)	396	64.7 ± 19.4	62.5 ± 17.9	67.1 ± 20.8	0.018
<b>Symptoms and health-related quality of life</b>					
CAT total score	1112	13.0 ± 9.2	12.5 ± 8.9	13.4 ± 9.5	0.128
mMRC	972	1.8 ± 1.0	1.7 ± 1.0	1.8 ± 1.0	0.343
SGRQ total score	564	40.1 ± 21.3	39.8 ± 21.4	40.4 ± 21.3	0.761
SGRQ symptoms	570	42.9 ± 25.4	42.5 ± 25.4	43.4 ± 25.4	0.676
SGRQ activity	569	51.9 ± 29.4	51.4 ± 29.4	52.4 ± 29.5	0.693
SGRQ impact	565	29.0 ± 20.7	28.5 ± 20.4	29.5 ± 21.1	0.597
EQ-5D	1060	0.8 ± 0.2	0.8 ± 0.2	0.8 ± 0.2	0.588
EQ VAS	1050	61.4 ± 26.4	62.7 ± 25.8	60.1 ± 27.0	0.110
<b>Exacerbations and disease-specific and medical contacts</b>					
Exacerbations ≥ 2 <sup>a</sup>	1283	176(13.7%)	87(13.4%)	89(14.1%)	0.725
Exacerbations ≥ 1 <sup>a</sup>	1283	360(28.1%)	169(26.0%)	191(30.2%)	0.096
Pneumonia <sup>b</sup>	1283	316(24.6%)	158(24.3%)	158(25.0%)	0.786
COPD hospitalisation	1283	88(6.9%)	45(6.9%)	43(6.8%)	0.927
COPD ED	1283	68(5.3%)	38(5.8%)	30(4.7%)	0.376

Abbreviations: FVC: forced vital capacity; FEV<sub>1</sub>: forced expiratory volume in the first second; FEV<sub>1</sub>/FVC: Tiffeneau index; TLCO: transfer factor of the lung for carbon monoxide (CO); KCO: carbon monoxide transfer coefficient; RV: residual volume; TLC: total lung capacity; 6-MWD: six-minute walk test; CAT: COPD assessment test; mMRC: modified Medical Research Council Dyspnoea Scale; SGRQ: St. Georges Respiratory Questionnaire; EQ-5D: European Quality of Life 5 Dimensions 3 Level Version; EQ VAS: EuroQol visual analogue scale; COPD ED: COPD related emergency department visit.

<sup>a</sup> Total in the last 12 months.

<sup>b</sup> Ambulatory.



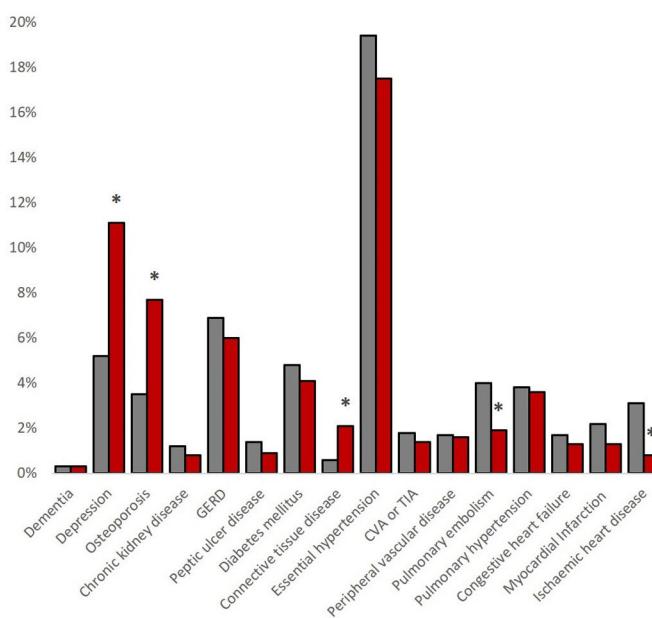
**Fig. 1.** Prevalence of lung and liver disease stratified by sex. (A): pulmonary disease, (B): liver disease, bars refer to indicated medical diagnoses, categorised by men (grey) and women (red), group comparisons were made using Chi-squared tests, p values <0.005 were considered statistically significant.

### Comorbidities

Regarding comorbidities, women reported lower rates of ischaemic heart disease (0.8% vs. 3.1%,  $p=0.003$ ) and pulmonary embolism (1.9% vs. 4.0%,  $p=0.026$ ), but higher rates of connective tissue diseases (2.1% vs. 0.6%,  $p=0.024$ ), osteoporosis (7.7% vs. 3.5%,  $p=0.001$ ) and depression (11.1% vs. 5.2%,  $p<0.001$ ). The sum of comorbidities was higher in men with a Charlson Index score of  $1.2 \pm 1.3$  vs.  $0.9 \pm 1.7$  in women ( $p<0.001$ ), see Fig. 2.

### Symptoms and quality of life

Overall, when asked about their symptoms, women in the cohort reported the same symptom burden as men on the modified Medical Research Council Dyspnoea Scale (mMRC) and the COPD assessment test (CAT). With regard to health-related quality of life, there were no differences in the St. George's Respiratory Questionnaire (SGRQ) and in the European Quality of Life 5 Dimensions 3 Level Version (EQ5D), see Table 2.



**Fig. 2.** Prevalence of the various comorbidities by sex. The figure shows the absolute number of comorbidities compared between men (grey) and women (red).

#### Exacerbations and disease-specific and medical contacts

In the overall group, 28.1% of study participants reported at least one exacerbation in the previous year, 14% reported two or more exacerbations, with no gender differences. There were no gender-specific differences in outpatient emergency contacts due to pneumonia or COPD or in hospital admissions due to COPD, see Table 2.

#### COPD subgroup

Given that women were less likely to be diagnosed with COPD, additional analyses were performed in the subgroup of 628 patients, diagnosed with COPD. The women with COPD had a lower number of PY than the men with COPD ( $18.6 \pm 12.9$  vs.  $21.4 \pm 14.3$ ,  $p = 0.032$ ).

In terms of pulmonary function, women had better FEV<sub>1</sub> pred. values and were more often in GOLD grade 1 and less in GOLD 4 than men. There were no differences in residual volumes and diffusion capacity. However, women with COPD had a significantly higher symptom burden. This was demonstrated by the CAT and the mMRC, where women had significantly higher scores than men ( $17.3 \pm 9.0$  vs.  $15.1 \pm 8.6$ ,  $p = 0.004$  and  $2.1 \pm 1.0$  vs.  $1.9 \pm 1.0$ ,  $p = 0.025$ ). Women scored significantly higher than men, particularly on CAT items 3 (chest tightness), 6 (self-confidence), 7 (sleeplessness) and 8 (energy). The higher symptom burden was also reflected in a higher SGRQ total score, which was due to increased scores in all SGRQ sub-domains ( $p < 0.05$  for each). Women with COPD tended to have lower EQ-5D scores ( $0.8 \pm 0.2$  vs.  $0.7 \pm 0.2$ ,  $p = 0.091$ ), indicating a poorer health status, but these values did not reach statistical significance. The EQ VAS were significantly lower in women ( $52.0 \pm 25.7$  vs.  $57.8 \pm 24.4$ ,  $p = 0.011$ ). In addition, women in this subgroup were significantly more likely to report one or more exacerbations in the last year than men (46.7% vs. 37.9%,  $p = 0.028$ ), see Table 3.

#### Independent predictors of symptoms and exacerbations

Multivariate regression analyses of the outcome variables mMRC ( $\geq 2$ ) or CAT ( $\geq 10$ ) to record symptom burden and exacerbations ( $\geq 1$  in the previous year), always taking into account age,

gender, BMI and smoking status as well as the presence of COPD, asthma or bronchiectasis, showed that sex is an independent predictor of the aforementioned outcomes in all three cases. See Fig. 3 and Table 4.

#### Discussion

Our analyses revealed several differences between the sexes, including differences in alcohol and tobacco consumption, occupational exposure to pollutants, the prevalence of AATD-associated lung and liver diseases, and the pattern of comorbidities. There were also significant differences in the quantity and quality of symptoms and the number of exacerbations reported, most evident in the subgroup of patients with COPD. Regardless of the type or presence of lung disease, female gender was associated with higher symptom burden as measured by the CAT or mMRC. Female sex was also an independent risk factor for exacerbations. In terms of risk behaviours, men in the registry were significantly more likely to be current or former smokers, to have a higher number of pack-years, and to report more frequent and higher daily alcohol consumption. This corresponds to the data from the Canadian AAT register, in which men also have a higher tobacco consumption.<sup>21</sup> In addition, the men in the cohort were significantly more likely to have occupational exposure to pollutants.

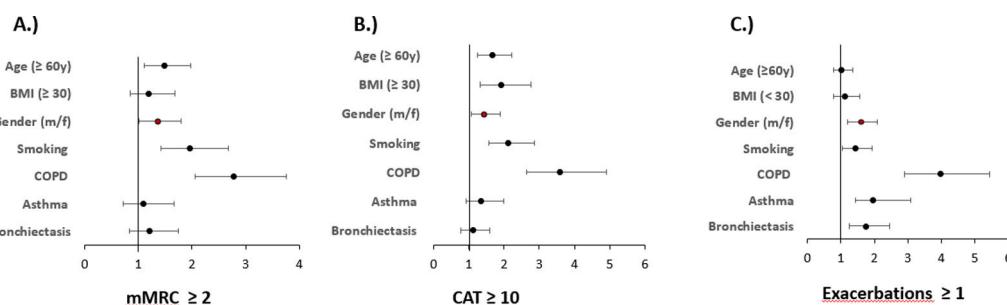
Disease manifestations also differed between the sexes, with more men diagnosed with liver disease, chronic bronchitis, COPD or emphysema, and more women diagnosed with bronchiectasis. These differences can be partly, but not entirely, explained by differences in behaviour. Although men in the cohort had a higher tobacco consumption, this only amounted to about 3 pack-years overall, and a number of studies have shown that women are more susceptible to tobacco-related pulmonary harm than men. Sørheim et al. investigated sex differences in the effects of smoking on lung function in a population with early COPD and/or COPD development at low levels of tobacco exposure. Female sex was associated with a greater decline in lung function in both groups of patients.<sup>22</sup> Data from two independent Danish population-based cohorts, the Copenhagen City Heart Study and the Glostrup Population Studies, with a total of 13,897 participants who were followed up for up to 16 years, came to a similar conclusion: smoking impairs lung function more in women than in men. When the number of pack years (PY) was taken into account, the female participants in the two Danish cohorts also had a higher risk of being hospitalised for COPD than the male participants.<sup>23</sup> In addition, an analysis of the German AATD Registry did not show a clear gender dominance of any AATD phenotype, but it should be noted that the information in this registry is based on patient self-report and only asked about lung and liver disease in general.<sup>24</sup> In the EARCO registry, data are entered by the study centres and the information on lung or liver disease is much more detailed.<sup>12,13</sup> This made it possible to distinguish between different lung diseases. With regard to the various lung diseases, it is also surprising that although women are less likely to report a diagnosis of chronic bronchitis, they are more likely to suffer from bronchiectasis, as chronic bronchitis is usually a clinical diagnosis that should have a large overlap with the symptoms of bronchiectasis. In previous COPD studies, women were often less willing to report cough and sputum production.<sup>2,11</sup> This could be due to the shame associated with traditional gender roles and definitions of femininity. Gender differences in bronchiectasis have been known for many years, with 60.9% of participants in the European Bronchiectasis registry (EMBARC) being female.<sup>25</sup> In general, bronchiectasis is the endpoint of a wide range of diseases and the causes of these sex differences are poorly understood. Sex steroid hormones are thought to vary in type, pattern and concentration throughout life and between the sexes and may play a

**Table 3**

COPD subgroup, pulmonary function, symptoms and disease-specific and medical contacts.

Variable	N	Total N=628	Male N=369	Female N=259	p
<i>Baseline characteristics/smoking</i>					
Packyears	464	20.2 ± 13.8	21.4 ± 14.3	18.6 ± 12.9	<b>0.032</b>
Smoking (no/yes) (%)	628	22.3%/77.7%	20.9%/79.1%	24.3%/75.7%	0.306
Smoking status <sup>a</sup> (%)	628	21 (3.3%)	11 (3.0%)	10 (3.9%)	0.546
<i>Pulmonary function</i>					
GOLD 1	607	55 (9.1%)	23 (6.5%)	32 (12.7%)	<b>0.009</b>
GOLD 2	607	205 (33.8%)	116 (32.7%)	89 (35.3%)	0.498
GOLD 3	607	228 (37.6%)	136 (38.3%)	92 (36.5%)	0.651
GOLD 4	607	119 (19.6%)	80 (22.5%)	39 (15.5%)	<b>0.031</b>
<i>Symptoms and health-related quality of life</i>					
CAT	605	16.0 ± 8.8	15.1 ± 8.6	17.3 ± 9.0	<b>0.004</b>
CAT 1 (cough)	605	1.8 ± 1.3	1.7 ± 1.3	1.9 ± 1.4	0.149
CAT 2 (phlegm)	605	1.6 ± 1.4	1.6 ± 1.3	1.6 ± 1.4	0.827
CAT 3 (chest tightness)	605	1.5 ± 1.4	1.4 ± 1.4	1.7 ± 1.4	<b>0.012</b>
CAT 4 (breathlessness)	605	3.5 ± 1.5	3.4 ± 1.5	3.6 ± 1.5	0.129
CAT 5 (activity)	605	2.3 ± 1.7	2.2 ± 1.7	2.5 ± 1.6	0.051
CAT 6 (self-confidence)	605	1.4 ± 1.5	1.2 ± 1.4	1.7 ± 1.6	<b>&lt;0.001</b>
CAT 7 (sleeplessness)	605	1.6 ± 1.5	1.4 ± 1.5	1.8 ± 1.6	<b>0.004</b>
CAT 8 (energy)	605	2.4 ± 1.4	2.2 ± 1.4	2.5 ± 1.5	<b>0.012</b>
mMRC	594	2.0 ± 1.0	1.9 ± 1.0	2.1 ± 1.0	<b>0.025</b>
SGRQ total score	269	48.1 ± 19.8	45.9 ± 19.7	51.1 ± 19.5	<b>0.034</b>
SGRQ symptoms	270	50.6 ± 25.4	47.5 ± 25.7	55.0 ± 24.4	<b>0.017</b>
SGRQ activity	274	63.1 ± 25.6	60.2 ± 25.9	67.1 ± 24.8	<b>0.029</b>
SGRQ impact	273	35.7 ± 20.9	33.5 ± 20.4	38.7 ± 21.3	<b>0.045</b>
EQ-5D (index)	497	0.7 ± 0.2	0.8 ± 0.2	0.7 ± 0.2	0.091
EQ VAS	494	55.3 ± 25.1	57.8 ± 24.4	52.0 ± 25.7	<b>0.011</b>
<i>Exacerbations and disease-specific and medical contacts</i>					
Exacerbations ≥ 1 <sup>b</sup>	628	261 (41.6%)	140 (37.9%)	121 (46.7%)	<b>0.028</b>
Exacerbations ≥ 2 <sup>b</sup>	628	136 (21.7%)	74 (20.1%)	62 (23.9%)	0.245
Pneumonia <sup>c</sup>	628	193 (30.7%)	111 (30.1%)	82 (31.7%)	0.673
COPD hospitalisation	628	70 (11.1%)	37 (10.0%)	33 (12.7%)	0.287
COPD ED	628	55 (8.8%)	32 (8.7%)	23 (8.9%)	0.928
Augmentation therapy	628	285 (45.4%)	175 (47.4%)	110 (42.5%)	0.220
Augmentation FEV ≤ 65%	468	239 (51.1%)	148 (52.7%)	91 (48.7%)	0.396

Abbreviations: <sup>a</sup>(nonsmoker/current smoker); CAT: COPD assessment test; mMRC: modified Medical Research Council Dyspnoea Scale; SGRQ: St. Georges Respiratory Questionnaire; EQ-5D: European Quality of Life 5 Dimensions 3 Level Version; EQ VAS: EuroQol visual analogue scale; COPD ED: COPD related emergency department visits <sup>b</sup>total in the last 12 months <sup>c</sup>ambulatory in the last 12 months.



**Fig. 3.** Predictors of symptoms and exacerbations. The figure shows the odds ratios and 95% confidence intervals for predictors of mMRC ≥ 2, CAT ≥ 10 binary and exacerbations ≥ 1 derived from binary logistic regression analyses. Numerical values correspond to those in Table 4.

central role in various lung diseases as well as in sex differences in the prevalence of bronchiectasis.<sup>26</sup>

There was a clear predominance of men with liver disease in our cohort. In general, it should be noted that liver disease was less common in our cohort, which may be partly due to the fact that the EARCO study centres were predominantly pneumology departments, which introduces a certain selection bias. The observed sex differences are consistent with data from a large UK biobank study showing that male sex, obesity, diabetes and older age are associated with an increased risk of liver fibrosis/cirrhosis and primary liver cancer.<sup>27</sup>

There were some differences in other comorbidities between men and women in our cohort; overall, the rate of cardiovascular comorbidities was low, as previously described in other AATD

cohorts.<sup>28,29</sup> Men were more likely to have ischaemic heart disease or a history of pulmonary embolism, while women were more likely to have been diagnosed with osteoporosis or depression.

Another interesting finding was the difference in reported symptoms: although women were less likely to have COPD, they reported the same overall level of COPD-specific symptoms in the CAT and the mMRC. A recent study by EARCO comparing 629 P<sup>ZZ</sup> individuals from Northern and Southern European countries showed relevant differences in the CAT score between the two groups.<sup>30</sup> This highlights the fact that, in addition to the diagnosis itself, a large number of influencing factors play a role, which is why the investigation of such a question in a large international cohort such as EARCO is important. In our analyses, we also found relevant differences between the sexes in terms of the frequency

**Table 4**

Independent predictors of symptoms and exacerbations Shown are the results of the logistic regression analyses for the outcomes; symptom burden, mMRC  $\geq 2$  and CAT  $\geq 10$  as well as exacerbations  $\geq 1$  in the previous year.

Predictors	Coefficient	Odds ratio	95% CI	p-Value
<i>Dependent variable: symptom burden, mMRC <math>\geq 2</math></i>				
Age ( $\geq 60$ years)	0.4	1.5	1.1–2.0	<b>0.008</b>
BMI ( $\geq 30$ kg/m <sup>2</sup> )	0.2	1.2	0.8–1.7	0.320
Gender (m/f)	0.3	1.3	1.0–1.8	<b>0.041</b>
Smoking history (n/y)	0.7	2.0	1.4–2.7	<0.001
COPD (n/y)	1.0	2.8	2.1–3.8	<0.001
Asthma (n/y)	0.1	1.1	0.7–1.7	0.659
Bronchiectasis (n/y)	0.2	1.2	0.8–1.7	0.319
<i>Dependent variable: symptom burden, CAT <math>\geq 10</math></i>				
Age ( $\geq 60$ years)	0.5	1.7	1.2–2.2	<b>0.001</b>
BMI ( $\geq 30$ kg/m <sup>2</sup> )	0.6	1.9	1.3–2.8	<b>0.001</b>
Gender (m/f)	0.4	1.4	1.1–1.9	<b>0.014</b>
Smoking history (n/y)	0.7	2.1	1.6–2.9	<0.001
COPD (n/y)	1.3	3.6	2.6–4.9	<0.001
Asthma (n/y)	0.3	1.3	0.9–2.0	0.139
Bronchiectasis (n/y)	0.1	1.1	0.8–1.6	0.568
<i>Dependent variable: exacerbations, <math>\geq 1</math></i>				
Age ( $\geq 60$ years)	0.0	1.0	0.7–1.3	0.902
BMI ( $<30$ kg/m <sup>2</sup> )	0.1	1.1	0.8–1.6	0.563
Gender (m/f)	0.5	1.6	1.2–2.1	<b>0.001</b>
Smoking history (n/y)	0.4	1.4	1.0–1.9	<b>0.025</b>
COPD (n/y)	1.4	4.0	2.9–5.4	<0.001
Asthma (n/y)	0.7	2.1	1.4–3.1	<0.001
Bronchiectasis (n/y)	0.6	1.7	1.2–2.5	<b>0.001</b>

of reported exacerbations. In the overall collective, the number of exacerbations was the same, which can be attributed to the fact that the subgroup of female COPD patients reported significantly more exacerbations than their male counterparts. Female COPD patients also had a significantly higher symptom burden compared to male patients. In the multivariate analyses conducted for the entire cohort, female sex was an independent predictor of exacerbations in the last year, along with age, BMI, smoking status and a diagnosis of COPD, asthma or bronchiectasis. In addition, female sex, together with age, BMI and a COPD diagnosis, was associated with higher symptom burden as measured by both the mMRC scale and the CAT score. The results correspond to data from the Spanish COPD cohort INSEPOC, analysing a sample of 4500 COPD patients. The women with COPD in the study were younger, smoked less and had better lung function. Nevertheless, these women reported a significantly poorer quality of life and more exacerbations.<sup>31</sup> A pooled analysis of 17,139 patients from 22 COPD cohorts showed that certain disease characteristics were different in women than in men, including higher levels of self-reported dyspnoea and COPD exacerbations; interestingly, the long-term survival of women with COPD in this study appeared to be better than that of men.<sup>2</sup> It remains to be seen whether this risk profile has an impact on the prognosis, e.g. with regard to the development of lung function in AATD.

## Limitations

Although the study was conducted in a large international registry with high data quality, there are some limitations, including the fact that the pulmonary diagnoses (e.g. emphysema and bronchiectasis) as well as comorbidities recorded were based on previous medical diagnoses. In this respect, it may not have been possible to rule out sex-based ascertainment bias in diagnosis. Nevertheless, this is the first comprehensive international study of sex-specific aspects in AATD. We did not collect self-identified gender, only biological sex, so wider study of this in comparison to sex may also be relevant in future.

## Conclusion

Our analysis of sex and gender aspects in AATD using the well-characterised international AATD cohort EARCO has shown that sex is important in AATD in many ways. The differences in risk behaviours highlight the need for early diagnosis and for sex and to be taken into account in individualised tobacco and alcohol prevention programmes. The differences in the pulmonary manifestations of AATD, such as the high proportion of women with bronchiectasis or the differences in exacerbation frequency and symptom burden, demonstrate the need for tailored therapeutic approaches to the different aspects of AATD and that sex should be included in these considerations.

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## Authors' contributions

FCT and HE drafting of the manuscript. FCT and HE performed the statistical analysis. All authors participated in the study concept, design and acquisition of data. All authors performed a critical revision and agree to be accountable for all aspects of the work. All authors read and approved the final manuscript.

## Conflict of interest

Hilal Ersöz has received support for attending meetings and/or travel from CSL Behring. María Torres-Durán has received consulting fees from Grifols, speaker fees from CSL Behring, GSK and Chiesi,

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## Appendix B. Supplementary data

Supplementary data associated with this article can be found, in the online version, at doi:[10.1016/j.arbres.2024.06.019](https://doi.org/10.1016/j.arbres.2024.06.019).

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